

State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors

January 2024

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State Board of Examiners
of Plumbing, Heating, and
Fire Sprinkler Contractors

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www.nclicensing.org

Remembering Troy Allen Worrell



On November 28, 2023, for the second time in six months, the Board lost an actively serving Board member and a great friend to all.

Troy Allen Worrell passed following a difficult and courageous battle with cancer. He was appointed to the Board in 2018 to serve as the Heating Contractor member.

Born in Durham in 1970, he entered the HVAC trade in 1993 at Carolina Air Conditioning Co., went about learning as much as possible about the industry, and earned a Heating Group 3—Class I license. In 2004, he purchased the firm and became its President and Chief Operating Officer. Under his leadership, Carolina Air Conditioning Co. grew from 20 to 120 employees and annual revenues grew from just over \$1 million to nearly \$20 million.

His extensive knowledge of the industry and knack for managing business operations made him a great asset to the Board, and he enjoyed his interactions not only with other board members, but also with the board's licensees.

Troy loved giving back to his community. He was an active supporter and participant in Habitat for Humanity and Operation Breakthrough for over a decade, assisting many low-income families that were in need. In his free time, Troy enjoyed scuba diving, traveling, and spending time with family.

Troy was extremely generous with his time, wealth, and advice. He placed a high value on family, friendships, and business relationships, and he genuinely enjoyed seeing others succeed at whatever they attempted.

A memorial service was held on December 1, 2024. Left to cherish his memory are his wife, Leslie; children Bryson Troy Worrell and Amber Worrell, two brothers and three grandchildren.

The members and staff of the Board express our gratitude for Troy's friendship and service, and our condolences to his family members, friends, and employees. He will be greatly missed by our Board members, staff and the HVAC industry.



Is Your License Active for 2024?

If you are one of the 2100 individuals who has still not yet renewed your license for 2024, it is now illegal for you to engage in any contracting activities until the license is renewed. Contracting activities include, but are not limited to, bidding, quoting, contracting, obtaining permits, or performing any work which requires a license.

Most, if not all, local permitting offices across the state routinely check the status of the license before issuing a permit and will deny the permit if the license is not current. A licensee cannot call the Board office from the local permitting office and renew the license over the phone in order to obtain a permit.

The quickest way to renew a license is through the online portal at www.nclicensing.org using a MasterCard or Visa and your username and password (more information below). If you do not wish to renew online, you will need to mail your completed renewal form and payment to the Board's office. Renewals may take up to three business days to process after they are received.

If you wish to visit our office in person, please check the website for our office hours and holiday closures. We can only accept a check or money order for the exact amount of your renewal, and cannot accept cash, credit or debit cards. If the office is closed, you may leave your renewal in the large gray secure drop box out front. Renewals delivered to the office in person cannot be processed while you wait, and may take up to three business days to process.

Annual license renewals began on October 1, 2023, and renewal invoices were mailed to all active licensees. The notices contained the username and password, and licensees were encouraged to renew online. Renewal notices were mailed to the address of record. Licensees are responsible for updating any change of address with the Board within 30 days of such change. Renewal notices were also e-mailed the first week of October to all licensees who have an e-mail address on file with the Board. If you do not know your username or password, please email information@nclicensing.org with your full name and license number to request your login information.

Regardless of whether you received a renewal notice or not, it is your responsibility to renew your license between October 1 and December 31 of each year. If you failed to renew this year, we suggest putting a reminder on your 2024 calendar now to be sure that you don't forget to renew for 2025.

If you did not receive a renewal notice and wish to renew by mailing a paper form to the office, please visit the Forms page of our website and download the appropriate form. If you wish to renew online and did not receive a form with your username and password, please contact the Board's office for assistance.

For those who expired in 2020, if your renewal for 2021-2023 was not postmarked by December 31, 2023, your license has been retired. If the license is retired, the only way to reinstate it is to take and pass the required examination(s).

Licenses that expired in 2021 or 2022 are subject to a \$25.00 late renewal processing fee. Licenses that expired in 2023 are subject to a \$25.00 late renewal processing fee if not renewed before January 31, 2024. It is important to understand that this is not a grace period for the license expiration...it is only an opportunity to renew the license without being charged the late fee.

To verify if a license has been renewed, please visit the online License Search and enter the license number. If the license has been renewed for 2024 it will indicate an expiration date of 12/31/2024 in the search result details. If the license has not been renewed for 2024, the phrase "No results found for search criteria." will be displayed.

Sub-licensee Status

Sub-licensees are renewed with the master (qualifying) licensee, and the sub-licensee will continue to show as 'Inactive' with an expiration date that may be different from the current year. This is because a sub-licensee is not allowed to engage in contracting and may only perform licensed work while 'on the clock' with the employer where their license is listed as a sub-licensee. The sub-licensee may, however, assist the master licensee with providing supervision, but only within the license classification(s) that the sub-licensee holds.

Is Your License Listed Correctly With The Board?

The Board issues licenses to individuals who meet the experience requirement and pass the exams. The license belongs to the individual, and if the licensee is responsible for operating a firm, the license needs to be listed in the firm name whether that is a sole proprietorship, general partnership, corporation, Limited Liability Company or Corporation, or a DBA (Doing Business As).

If you are doing business in any name other than that which is currently associated with your license, you are in violation of the Board's rules. If you need to change the name, you can submit a Trade Name Change form, available on the Forms page of our website.

This can also have implications should you need to go to Small Claims Court for a case of non-payment by a customer. If a judge sees that the license is listed in any name other than the name that was on the bid, quote, contract or invoice, there is a very strong possibility that they would dismiss the case.

North Carolina State Agencies

NC Department of Insurance	919-647-0000 800-634-7854	NC Department of Labor	919-807-2796
• Dan Dittman (Plumbing Interpretations)	919-647-0012	NC Department of Revenue	919-252-3052
• Kate Whalen (Mechanical & Gas Interpretations)	919-647-0033	NC Electrical Licensing Board	919-733-9042
• Dan Dittman (Energy Interpretations)	919-647-0012	NC General Contractor Licensing Board	919-571-4183
• Charlie Johnson (Fire Code Interpretations)	919-647-0094	NC Irrigation Contractors Licensing Board	919-872-2229
		NC Refrigeration Licensing Board	919-779-4711
		NC Secretary of State	919-807-2225
		NC Well Commission	919-707-5882

State Board of Examiners of Plumbing, Heating & Fire Sprinkler

Office Staff Directory

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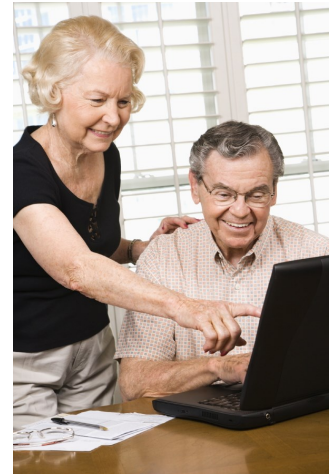
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To view a regional map and listing of counties, please visit our website at www.nclicensing.org and click on the "Administrative Officers" button, or simply [click here](#).

Website Information: www.nclicensing.org (Forms)

Online license renewal is available for licensees who wish to use it and pay the renewal fee with a MasterCard or Visa. The online renewal link can be found on the home page of our website. If you do not have your username and password, or have any other questions regarding online renewal, please contact DeJaya "DJ" Soltys at 984-884-5789.



Compliant Form

- Complaint against licensed or unlicensed contractors

Forms Requiring Payment (check, money order or online)

1. Trade Name Change (licensee or firm name)
2. Add Sub-licensee
3. Delete Sub-licensee
4. License Activation (for examinees who have passed examination)
5. Request for Copy of License
6. Class II Plumbing or Heating Exam

Forms Requiring No Payment

1. Address Change: Licensee (may be changed online)
2. Address Change: Sub-licensee (may be changed online)

Renewal Forms

1. 2024
2. 2024 and Previous Year(s)

New Applicant Forms

NEW— Online Application Available

1. Plumbing, Heating, and Fuel Piping Contractor Examination Application
2. Fire Sprinkler Installation & Inspection Contractor Examination Application
3. Residential Fire Sprinkler Installation Contractor
4. Plumbing, Heating & Fuel Piping Technician
5. Fire Sprinkler Inspection Technician Examination Application (with or without NICET)
6. Fire Sprinkler Maintenance Technician
7. Book Reference List for All Applicants
8. Request for Exam Review (for examinees who have failed a recent exam)
9. Re-examination Application (for examinees who wish to retake an exam)
10. Customer Affidavit

Military & Military Spouse Applicant Forms

1. Active Duty or Veteran: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor
2. Military Spouse: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor

Online Ordering

1. Books - Board's Laws & Rules and Business & Project Management for Contractors
2. Request for Register of Licensees
3. Request for Verification of License
4. Request for Listing of Current Applicants

Disciplinary Actions

The Board is required by law to investigate every complaint received. If the findings of the investigation indicate that a violation of the laws and rules enforced by the Board have occurred by a licensed or unlicensed individual and/or firm, a number of disciplinary actions are available to the Board. Recent actions are reflected in the four reports that follow:

- Letter of Caution Report
- Consent Agreement Report
- Field Resolution and Resolution Review Committee Report
- Attorney's Report

Letter of Caution Report

Upon completion of investigations, a Letter of Caution can be issued to a licensee where it is determined through an investigation that a violation has occurred by one of our licensees. The Letter of Caution is issued to address and affirm issues they should improve upon or be more mindful of for future jobs:

Name	City/Town	Date	Name	City/Town	Date
Barefoot, Weston R.	Wilmington	08/25/2023	McLaurin, George A.	Fayetteville	07/20/2023
Barnes, Jonathan A.	Lexington	06/21/2023	McNeil, Joshua D.	Boone	08/15/2023
Element Service Group Mechanical LLC	Raleigh	08/22/2023	Newsome, Justin M.	Raleigh	08/22/2023
Eller, Sean P.	Winston Salem	09/22/2023	Nichols, Lloyd L.	Durham	08/24/2023
Hathcock, Ricky L.	Stanfield	09/18/2023	Rugh, Frank P.	Sanford	08/21/2023
Lamarre, Sandy H.	Garner	08/31/2023	Williams, Lorne	Graham	10/11/2023

Consent Agreement Report

A Consent Agreement is an affidavit taken from unlicensed individuals performing plumbing, heating and fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals performing contracting activities in the field, please report them to this office.

Name	City/Town	Date	Name	City/Town	Date
Brooker, Michael	Aberdeen	10/09/2023	Mitchell II, Ivey	Fayetteville	10/19/2023
Estrada, Rafael	Winston Salem	10/26/2023	Perdomo, Armando	Charlotte	10/23/2023
Freeman, Matthew E.	Marshall, NC	10/25/2023	Seith, Alan	Matthews	10/23/2023
Gregory, Jeremiah	North Wilkesboro	10/23/2023	Shipp, Nathaniel	Fayetteville	10/18/2023
Hutchins, Donald	Graham	10/11/2023	Thawley, Robert C.	Morganton	10/17/2023
Makgill, Diane C.	Raleigh	10/12/2023	Williams, Justin M.	Morganton	10/24/2023

Resolution Agreements

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of sanctions against their license instead of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case. Specific details of any of the following cases can be obtained by e-mail (information@nclicensing.org) or upon written request to the Board's office.

**Armstrong, David D., Jr.
David Armstrong, Jr. Services, LLC.
Columbia, NC**

License# 21460 H-2&3-I

Allegations licensee failed to provide supervision, violated the minimum standard of the North Carolina State Mechanical Code, failed to perform proper duct installation, and installed improperly sized equipment.

Resolution Conference held 08/24/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of David Durwood Armstrong, Jr., 21460 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during probation, the licensee shall enroll in,

attend, and complete the Special Board Laws & Rules course and complete the series of Special Mechanical Code courses. The licensee shall also maintain a written or digital daily log to document each job the licensee provided the on-site in-person supervision and review for all work performed under their license.

**Burgess, Andrew C.
Clayton, NC**

License# 33004 H-3-I

Allegations licensee has not taken any of the prescribed NC Fuel Gas Code and Design Classes, licensee has not taken the Board Laws & Rules Class, the licensee has not submitted quarterly reports, and the license failed to have an additional licensee in the firm prior to removing his license from the name of the firm.

Resolution Conference held 08/23/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Andrew Charles Burgess, number 33004 H-3-I, shall be suspended for a period of three (3) months. Among other requirements during the suspension, the license will be restored at the end of the suspension, provided that the licensee completes all the requirements listed in the June 27, 2022, probation agreement.

**Carolina Heat Cool, LLC
Clayton, NC**

License Expired

Allegations the firm failed to provide any of the required probation documentation.

Resolution Conference held 08/23/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that in lieu of the suspension prescribed in the previous Proposed Resolution Agreement dated 06/27/2022, the license of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during probation, the licensee, Charles William Burgess, shall enroll in, attend, and complete the Special Board Laws & Rules.

**Dancy, Stanley
Stanley's Plumbing, LLC
Zionville, NC**

License# 33278, P-I

Allegations of misconduct, improper response, contractual issues, and improper license issuance.

Stanley Lynn Dancy signed a Proposed Resolution Agreement 08/23/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Stanley Dary, number 33278, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during probation, by September 15th, 2023, the licensee shall return to the structure (158 Mayview Manor Court, Unit A), and complete the plumbing installation per the contractual agreement. The contractor shall contact the building owner in advance, arrange a time to perform this work and shall receive a final inspection. This work

shall be performed per the contractual agreement. By August 30th, 2023, assure that his license has been listed with the Board in the name that he currently operates his business. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Deal, Robbie K.
Deel HVAC, LLC
Eden, NC**

License# 29448 H-3-I

Allegations licensee violated probation in that the installation performed was not compliant with the NC Mechanical code and violated the minimum standard of the North Carolina State Mechanical Code.

Resolution Conference held 09/20/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Robbie Deal, number 29448 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses.

**Gaston, Mack A.
Technology Mechanical Systems
& Service, Inc.
Matthews, NC**

License# 30129 H-2&3-I

Allegations licensee has failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, and violated the minimum standard of the North Carolina State Mechanical Code.

Resolution Conference held 09/22/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Mack Gaston, number 30129 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, within 30 days of approval of this agreement the licensee shall submit to the board copies of the third-party load calculations, that was reviewed and approved by the licensee, for the installation located at 1417 West Mountain St. & 1830 Holly Crescent Ln. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Gragg, Michael W.
Gragg Heating & Air Conditioning
Lenoir, NC**

License# 20210 H-2-3-I

Allegations of misconduct, State Mechanical Code violation(s), improper system opera-

tion and response time, and installation issues.

Resolution Conference held 07/11/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Michael Gragg, number 20210, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, by September 15th, 2023, assure that his mechanical license has been listed with the Board as the qualifier for the City of Lenoir. By 09/07/2023, the contractor shall return to the homes located at 429 Burch Circle Drive, and perform a thorough Manual J load calculation, Manual D duct design and a Manual S equipment sizing calculation. If the calculations reveals that the equipment or ductwork is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the improperly sized equipment and ductwork with properly sized equipment and ductwork and obtain a final inspection by September 10th, 2023. The contractor shall submit evidence to the board by 09/15/2023, of the final inspection and shall submit a copy of all of the calculations. This work shall be performed at no expense to the homeowners, by 09/07/2023, the licensee shall obtain a permit from the local code enforcement official, return to the structure (429 Burch Circle Drive) and correct any and all code violations that still exist. The contractor shall contact the building owner in advance, arrange a time to perform this work and shall receive a final inspection by 09/10/2023. This work shall be performed at the expense of the contractor. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Haywood, Lawrence
Haywood Plumbing Services, Inc.
Charlotte, NC**

License# 31127 P-I

Allegations licensee failed to obtain final rough-in inspections, violated the minimum standard of the North Carolina State Plumbing Code, and requested inspection when the complete rough-in was not performed.

Resolution Conference held 09/20/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Lawrence Haywood, number 31127 P-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during probation, the licensee, the licensee shall enroll in, attend, and complete the series of Special Plumbing Code and Design courses and the Special Board Laws & Rules course.

Hull, Timothy
Hull's Plumbing, LLC.
Vale, NC
License# 35072 P-II

Allegations licensee failed to install a plumbing system properly, violated the minimum standard of the North Carolina State Plumbing Code, engaged in business under a different name or style of business than appears on his license. The licensee was operating Hull's Plumbing LLC (created in 2020), yet his license was listed in his individual name. The license has been listed in the business name with the board as of March 8, 2023, and failed to respond to the job in a timely manner after being alerted by the board staff.

Resolution Conference held 09/20/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Timothy Hull, number 35072 P-II, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the series of Special Plumbing Code and Design courses. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Icard, Joseph
Wen-Bray Htg., A/C
& Refrigeration, Inc.
Hickory, NC
License# 9303, H-3-I

Allegations of misconduct, selling license, violation of State Mechanical Code, improper supervision, and installation issues/ improper design.

Joseph Icard signed a Proposed Resolution Agreement 08/29/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Joseph Icard, number 9903, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during probation, 09/14/2023, the contractor shall return to the homes located at 2720 E. US 70 Hwy., Conover, NC, and perform a thorough Manual J load calculation, Manual D duct design and a Manual S equipment sizing calculation. If the calculations reveals that the equipment or ductwork is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the improperly sized equipment and ductwork with properly sized equipment and ductwork and obtain a final inspection by September 21st, 2023. The contractor shall submit evidence to the board by September 28th, 2023, of the final inspection and shall submit a copy of all of the calculations. This work shall be performed at no expense to the homeowners,

submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Lucas, Robert M.
Lucas Heating and Air, Inc.
Pilot Mountain, NC
License# S. 22056, H-3-I

Allegations of misconduct, failure to obtain a permit prior to commencing work, violation of the State Mechanical Code, and installation issues.

Robert Lucas signed a Proposed Resolution Agreement 08/24/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Robert Lucas, number 22056, and that of the firm shall be placed on unsupervised probation for a period of eighteen months. Among other requirements during probation, by 09/01/2023, the contractor shall return to the home located at 252 Old Hollow Road and perform a thorough Manual J load calculation, Manual D and a Manual S equipment sizing calculation. If the calculations reveals that the equipment or ductwork is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the improperly sized equipment with properly sized equipment and obtain a final inspection by September 8th, 2023. The contractor shall submit evidence to the board by September 15th, 2023, of the final inspection and shall submit a copy of all of the calculations. This work shall be performed at no expense to the homeowners, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Kennedy, Dustin J.
Kennedy's Heating &
Air Conditioning, LLC
Richlands, NC
License# 33327 H-3-I

Allegations licensee failed to withhold taxes and paid an unlicensed individual using a 1099 Form in violation of Board rule 21 NCAC 50.0512.

Dustin Kennedy signed Proposed Resolution Agreement 09/18/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Dustin Kennedy, number 20210, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during probation, the licensee shall submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the proba-

tion submit a copy of all employee W-2's. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

McConnell, Nicholas
McConnell Plumbing, LLC
Cornelius, NC
License# 35638 P-I

Allegations licensee failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code, allowed the use of license by unlicensed persons by issuing 1099's to unlicensed persons, and engaged in business and contracted this job under a different name or style of business than appears on his license.

Resolution Conference held 09/18/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Nicholas McConnell, number 35638 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the series of Special Plumbing Code and Design courses and the Special Board Laws & Rules course.

Morgan, Alfred
Delwood Mechanical, LLC
Monroe, NC
License# 7092 H-1,2&3-I

Allegations licensee obtained multiple permits (about 14) and allowed his license number to appear on multiple permits on jobs where the licensee did not hold the contract with the homeowner or general contractor; the licensee did not supervise the jobs, bona-fide employees of the licensee did not perform the work and the licensee did not receive the contractual payment for the jobs. Allowed the use of license by unlicensed persons.

Resolution Conference held 09/18/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Alfred Morgan, number 7092 H-1,2&3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Norton, Ronald L.
Graham, NC
License# 16466 P-I

Allegations licensee allowed the use of license by unlicensed person (Timothy Pope) where Mr. Pope contracted the installation of about 75' fuel piping, Mr. Pope performed the installation of the 75' of fuel piping and Mr. Pope charged for the installation of the 75' of fuel piping, obtained a permit and al-

lowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job, failed to provide adequate supervision, engaged in business under a different name or style of business than appears on his license.

Resolution Conference held 08/21/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Ronald Norton, number 16466 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

O'Neill, James M.
Home Comfort Heating & Air L.L.C.
Troutman, NC
License# 32946 H-3-I

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, violated the minimum standard of the North Carolina State Mechanical Code, installation performed caused ceiling damage from a leaking air handler unit, and failed to provide adequate supervision.

Resolution Conference held 09/19/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of James O'Neill, number 32946 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the series of Special Mechanical Code courses.

Parks, Bradley M.
Mocksville, NC
License# 22380 H-3-I

Allegations licensee performed work outside the current qualification held by doing plumbing work for Wake Forest University Baptist Medical Center.

Resolution Conference held 08/23/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Bradley Parks number 22380 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Patterson, Mark L.
911 Heating & Air
Cramerton, NC
License# 22746 H-2&3-I

Allegations licensee violated the minimum standard of the North Carolina State Mechanical Code, and created risk of personal injury or property damage by installing a furnace that is designed for upflow or horizontal installation only, but the contractor installed the furnace in a downflow application.

Resolution Conference held 09/19/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Mark Patterson, number 22746 H-2&3-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. By December 20, 2023, the licensee shall submit to the board a copy of the block load calculation he performed before the installation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses shall enroll in, attend, and the Special Board Laws & Rules course.

Perry, Jay
Air Essentials, Inc.
Knightdale, NC
License# 21536 H-3-I

Allegations of misconduct and selling license in the business of heating contracting in that the licensee failed to withhold taxes for an individual and paid them on a Form 1099-MISC Form without withholding taxes in violation of the Board rule 21 NCAC 50.0512

Jay Perry signed a Proposed Resolution Agreement 08/10/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Jay Perry, number 21536 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of (12) months. Among other requirements during probation, within (30) days of executing this agreement the licensee shall submit to the Board's office copies of all employees W-4's and by the end of the probation submit a copy of all employees W-2's for each year of the probation, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Ramirez, John F.
Ramz Air
Jacksonville, NC
License# 33386 H-3-I

Allegations of misconduct and selling license in the business of heating contracting in that the licensee failed to withhold taxes for an individual and paid them on a Form 1099-MISC Form without withholding taxes in violation of the Board rule 21 NCAC 50.0512.

John Ramirez signed Proposed Resolution Agreement 09/28/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of John Ramirez, number 33386 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements of the probation, licensee shall submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the series of Special Mechanical Code courses.

Ramirez, Richard
Comfort Theory
Jacksonville, NC
License# 34422 H-3-I

Allegations of misconduct and selling license in the business of heating contracting in that the licensee failed to withhold taxes for an individual and paid them on a Form 1099-MISC Form without withholding taxes in violation of the Board rule 21 NCAC 50.0512

Richard Ramirez signed a Proposed Resolution Agreement 09/15/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Richard Ramirez, number 34422 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during probation, shall submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Ramsey, Charles
Kings Mountain, NC
License# 9320 H-3-I

Allegations of misconduct and selling license in the business of heating contracting in that the licensee failed to withhold taxes for an individual and paid them on a Form 1099-MISC Form without withholding taxes in violation of the Board rule 21 NCAC 50.0512

Charles Ramsey signed a Proposed Resolution Agreement 09/14/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Charles Ramsey, number 21536 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of (12) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and shall submit to the Board's office within the

next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

**Rawdon, Jason P.
York, SC
License# 34770 H-3-II**

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, failed to perform duct design, and violated the minimum standard of the North Carolina State Mechanical Code, and engaged in business under a different name or style of business than appears on his license.

Resolution Conference held 09/20/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Jason Rawdon, number 34770 H-3-II, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Shaffer, Michael W.
Winston-Salem, NC
License# 10783 H-3-I**

Allegations licensee obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job and licensing peddling.

Resolution Conference held 09/21/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Michael Shaffer, number 10783 H-3-I, and the license of the firm be suspended for a period of twelve (12) months. Among other requirements during the suspension, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Siri, Frank J.
North Carolina Cooling Supply DBA
North Carolina Heating & Cooling
Apex, NC
License# 34942 H-2&3-I**

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections though eventually obtained a final inspection about 3 months after completion of the installation,

failed to provide supervision, violated the minimum standard of the North Carolina State Mechanical Code, and violated the minimum standard of the North Carolina State Fuel Gas Code.

Resolution Conference held 08/22/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Frank Siri, number 34942 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Stone, Steven
Cary's Heating & Air
Asheville, NC
License# 15078 H-3-II**

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, violated the minimum standard of the North Carolina State Mechanical Code, violated the minimum standard of the North Carolina State Fuel Gas Code, failed to perform proper load calculation, failed to perform a duct design, and installation performed in a poor workman like manner / poor workmanship.

Resolution Conference held 09/20/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Steven Stone, number 15078 H-3-II, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during probation, by October 25, 2023, the licensee shall obtain a final passing mechanical and fuel gas inspection from the Haywood County Inspection Department the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course, the series of Special Mechanical Code courses, and the series of Special Fuel Gas Code and Design courses.

**Vanness, Paul Jr.
Liberty, NC
License# 26909 H-3-I**

Allegations of misconduct, improper supervision, State Mechanical Code violations, improper response, and installation issues.

Paul Vanness signed a Proposed Resolution Agreement 06/13/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Paul Vanness, number 26909 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of (12) months. Among other requirements during probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Vaughan, Jamie
J's HVAC Unlimited, LLC DBA Jay's
Heating, Air & Plumbing.
Mount Airy, NC
License# 19298 22627 H-2&3-I
(formerly License# 22627)**

Allegations licensee violated the minimum standard of the North Carolina State Mechanical Code and failed to provide supervision.

Resolution Conference held 09/21/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Jamie Vaughan, number 22627 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

**Vrabcak, Joseph P.
Tier I Heating and Air, LLC
Vass, NC
License# 35015 H2&3-II**

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, though both jobs name have received a final inspection, failed to provide supervision, failed to carry out adequate ductwork installation and system design, failed to perform load calculation(s), installed a system that was a 1/2 ton larger than what was called for on the load the contractor performed after the complaint was filed. The equipment has been changed out, violated the minimum standard of the North Carolina State Mechanical Code, and engaged in business under a different name or style of business than appears on his license.

Resolution Conference held 08/22/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Joseph Vrabck, number 35015 H2&3-II, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and complete the series of Special Mechanical Code courses.

**Watkins, Gary B.
Control Specialties Asheville Inc
Asheville, NC
License# 18990, H-1-2-3-I**

Allegations violation of the State Mechanical Code, failure to obtain a permit prior to commencing work, inadequate supervision, and installation issues.

Gary Watkins signed Proposed Resolution Agreement 09/25/2023.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Gary Watkins, number 18990, H-1-2-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements of the probation, contract NO work that requires a license until such time that he holds his own contractor's license with this board. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the series of Special Mechanical Code courses.

Williams, Kenneth T.
Works of Integrity HVAC
Maintenance & Repair
Wilmington, NC
License# 32001 H-3 Tech (revoked)
 Request for reinstatement of revoked technician license.

Resolution Conference held 09/21/2023.
 The Review Committee found that the allegations were supported by the evidence and recommended that upon passage of all examinations, the license of Kenneth Williams, number 32001, shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements of the probation, contract NO work that requires a license until such time that he holds his own contractor's license with this board. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Wren, Ryan A.
Wren Plumbing & Piping, LLC
Sanford, NC
License# 32034 P-I
 Allegations licensee failed to obtain permit(s) prior to commencing work. The licensee performed the installation of a sewer lift

pump, the rerouting of water lines to accommodate the relocation of walls and rerouted a gas line, failed to request inspections within 10 days of substantial completion of work, failed to notify the board or change the name the name in which the license was listed after the firm was administratively dissolved, and failed to hold a written contract with the general contractor on the job.
Resolution Conference held 08/22/2023.
 The Review Committee found that the allegations were supported by the evidence and recommended that the license of Ryan Wren, number 32034 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

Attorney's Report

The Attorney's Report contains information pertaining to cases that have been adjudicated in a formal proceeding of the Board or in the courts of North Carolina. Disciplinary actions against licensed contractors are typically imposed during a Formal Hearing of the Board. Court action is necessary when unlicensed individuals are in violation of the Board's Laws and Rules or in circumstances where licensees are found to be in violation of any order(s) issued by the Board.

Beauchesne, Kevin
Bryson City, NC
 Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/12/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Blanchard III, Howard E.
Cary, NC
 Hearing on Request for Reinstatement held July 12, 2023. Order issued on 7/13/23 granting reinstatement request subject to examination and probation requirements.

Brooks, Alan K.
Forest City, NC
 Allegations of heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/1/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Crockett, Charles
Barboursville, VA
 Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 10/24/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Erskine, Michael C.
Shelby, NC
 Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 11/14/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Fanning, Douglas
Lewisville, NC
 Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/29/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Freeman, Keith L.
Charlotte, NC
 Allegations of heating and fuel piping contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/28/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Gossett, Tyreece M.
Shelby, NC
 Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/27/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 12/2/23.

Hardwick, Eddie L.
Granite Falls, NC
 Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 11/20/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Himstedt, Ryan
Greensboro, NC
 Allegations of heating contracting without a license in violation of permanent injunction. Order of Criminal Contempt filed 11/1/23. The Defendant was sentenced to 30 days in the Wake County Jail; however the sentence was suspended and the Defendant placed on 12 months' unsupervised probation and order to pay a \$500.00 fine to the Wake County Clerk within 30 days of the entry of this Order.

Imlay, Andrew D.
Durham, NC
 Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/29/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

Jordan, Richard
Raleigh, NC
 Allegations of plumbing contracting without a valid license. Permanent Injunction by Sum-

mary Judgment filed 11/3/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Kephart, Mark A.
Rosman, NC**

Allegations of plumbing contracting without a license. Complaint for injunctive relief filed 1/25/19. Notice of Voluntary Dismissal filed 10/10/23.

**Lebed, Mikhail P.
Leicester, NC**

Formal Hearing held 10/12/23. Formal Order Issued imposing 6 months suspension and 1 year of probation as a result of supervision and sizing/installation issues related to 3 different HVAC systems at different homes No appeal.

**Marlowe, Ronald
Tabor City, NC**

Allegations of heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 12/6/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Miller, George E.
Fayetteville, NC**

Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/12/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Motsnyy, Oleksandr
Asheville, NC**

Formal Hearing held 10/12/23. Formal Order Issued imposing 6 months suspension and 1 year of probation as a result of supervision and sizing/installation issues related to 3 different HVAC systems at different homes No appeal.

**Newsome Jr., John
Reidsville, NC**

Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/24/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Powell, Kyle
Goldsboro, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/6/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 10/16/23.

**Raygoza, Juan D.
Burnsville, NC**

Allegations of heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/28/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Reardon, Ashley R.
Dunn, NC**

Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/30/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Rodriguez, Francisco
Raeford, NC**

Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/20/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Santos, Carlow
Spindale, NC**

Allegations of plumbing and heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/10/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Schlegel, Christopher J.
Merritt, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/24/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Simonds, Nathaniel R.
Bryson City, NC**

Allegations of plumbing contracting without a valid license. Permanent Injunction by Summary Judgment filed 10/10/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Thompson Jr., Dale
Cedar Grove, NC**

Allegations of heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/15/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Todd, Joshua
Liberty, NC**

Allegations of plumbing and heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 11/29/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Torres, Shamio
Candler, NC**

Allegations of plumbing and heating contracting without a valid license in violation of prior Judgment of Permanent Injunction. Order of Criminal Contempt filed 11/1/23. The Defendant was sentenced to 30 days in the Wake County Jail; however the sentence was suspended and the Defendant placed on 12 months' unsupervised probation and order to pay a \$500.00 fine to the Wake County Clerk within 30 days of the entry of this Order.

**Troublefield, James
Chapel Hill, NC**

Allegations of heating contracting without a valid license. Permanent Injunction by Summary Judgment filed 12/6/23 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Williamson, Dustin
Charlotte, NC**

Allegations of plumbing contracting without a valid license. Complaint for injunctive relief filed 6/22/22. Notice of Voluntary Dismissal filed 10/10/23.