

# State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors

#### January 2023

#### **BOARD MEMBERS**

William H. Eubanks Chairman Plumbing Inspector Wilmington, NC

**Stuart F. Schwartz** Vice-Chairman Plumbing Contractor Raleigh, NC

M. Randall Strother Secretary/Treasurer Fire Sprinkler Contractor Sanford, NC

**Roberto A. Nunez** NCSU Engineer Raleigh, NC

**Troy A. Worrell** Heating Contractor Raleigh, NC

**Patricia K. Selby** Public Member Raleigh, NC

William H. Sullivan III Air Conditioning Contractor Greensboro, NC

State Board of Examiners of Plumbing, Heating, and Fire Sprinkler Contractors

1109 Dresser Court Raleigh, NC 27609

Phone: 919-875-3612

www.nclicensing.org

### Is Your License Active for 2023?

If you are one of the 2,400 individuals who has still not yet renewed your license for 2023, it is now illegal for you to engage in any contracting activities until the license is renewed. Contracting activities include, but are not limited to, bidding, quoting, contracting, obtaining permits, or performing any work which requires a license.

Most, if not all, local permitting offices across the state routinely check the status of the license before issuing a permit and will deny the permit if the license is not current. A licensee cannot call the Board office from the local permitting office and renew the license over the phone in order to obtain a permit.

The quickest way to renew a license is through the online portal at <u>www.nclicensing.org</u> using a Master-Card or Visa and your username and password (see below). If you do not wish to renew online, you will need to mail your completed renewal form and payment to the Board's office. Renewals may take up to three business days to process after they are received.

If you wish to visit our office in person, please check the website for our office hours and holiday closures. We can only accept a check or money order for the exact amount of your renewal, and cannot accept cash, credit or debit cards. If the office is closed, you may leave your renewal in the large gray secure drop box out front. Renewals delivered to the office in person cannot be processed while you wait, and may take up to three business days to process.

Annual license renewals began on October I, 2022, and renewal invoices were mailed to all active licensees. The notices contained the username and password, and licensees were encouraged to renew online. Renewal notices were mailed to the address of record. Licensees are responsible for updating any change of address with the Board within 30 days of such change. Renewal notices were also e-mailed the first week of October to all licensees who have an e-mail address on file with the Board.

Regardless of whether you received a renewal notice or not, it is your responsibility to renew your license between October 1 and December 31 of each year. If you failed to renew this year, we suggest putting a reminder on your 2023 calendar now to be sure that you don't forget to renew for 2024.

If you did not receive a renewal notice and wish to renew by mailing a paper form to the office, please visit the Forms page of our website and download the appropriate form. If you wish to renew online and did not receive a form with your username and password, please contact the Board's office for assistance.

For those who expired in 2019, if your renewal for 2020-2023 was not postmarked by December 31, 2022, your license has been retired. If the license is retired, the only way to reinstate it is to take and pass the required examination(s). Licenses that expired in in 2019, 2020, or 2021 are subject to a \$25.00 late renewal processing fee.

Licenses that expired in 2022 are subject to a \$25.00 late renewal processing fee if not renewed before January 31, 2023. It is important to understand that this is not a grace period for the license expiration...it is only an opportunity to renew the license without being charged the late fee.

To verify if a license has been renewed, please visit the online License Search and enter the license number. If the license has been renewed for 2023 it will indicate an expiration date of 12/31/2023 in the search result details. If the license has not been renewed for 2023, the phrase "No results found for search criteria." will be displayed.

### North Carolina State Agencies

NC Department of Insurance		919-647-0000 800-634-7854	NC Department of Labor	919-807-2796
•	Mark Burns	919-647-0006	NC Department of Revenue	919-252-3052
	(Plumbing Interpretations)		NC Electrical Licensing Board	919-733-9042
•	David Rittlinger (Mechanical & & Gas Interpretations)	919-647-0008	NC General Contractor Licensing Board	919-571-4183
•	Dan Dittman (Energy Interpretations)	919-647-0012	NC Irrigation Contractors Licensing Board	919-872-2229
•	Charlie Johnson (Fire Code Interpretations)	919-647-0094	NC Refrigeration Licensing Board	919-779-4711
•	Lori Miller (Building Code Bookstore)	919-647-0029	NC Secretary of State	919-807-2225
			NC Well Commission	919-707-5882

## State Board of Examiners of Plumbing, Heating & Fire Sprinkler

**Field Staff Directory** 

#### **Office Staff Directory**

Office Phone: E-mail:	919-875-3612 information@n	clicensing.org	<b>David Boulay</b> Administrative Officer Region I	984-884-5790
Dale Dawson Executive Direc ddawson@nclic		984-884-5788	dboulay@nclicensing.org <b>Tom Johnston</b> Senior Administrative Officer	984-884-5805
	& Technology Manager	984-884-5787	Region 2 tjohnston@nclicensing.org	
ehall@nclicensing.org <b>Sharon Pittman</b> Legal Coordinator spittman@nclicensing.org		984-884-5804	<b>Jonathan Yerkes</b> Administrative Officer Region 3 jyerkes@nclicensing.org	984-884-5785
DeJaya (DJ) Se Executive Assist dsoltys@nclicen	ant / Licensing C	<b>984-884-5789</b> oordinator	<b>Scott Bilsky</b> Administrative Officer Region 4 sbilsky@nclicensing.org	984-884-5799
Ashley Tipton Exam Coordinat atipton@nclicer	tor	984-884-5753	Kevin Thibodeaux Administrative Officer Region 5	984-884-5795
Kerry Waldroup Complaint Coordinator complaint@nclicensing.org		984-884-5730	kthibodeaux@nclicensing.org To view a regional map and listir	
Marielle Warren Office Support Assistant mwarren@nclicensing.org		984-884-5793	our website at www.nclicensing.org and click on "Administrative Officers" button, or simply <u>click</u>	
<b>Livia Rojas</b> Receptionist Irojas@nclicensi	ing.org	984-884-5791		

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### Forms and Online Services

Online license renewal is available for licensees who wish to use it and pay the renewal fee with a MasterCard or Visa. The online renewal link can be found on the home page of our website by clicking the Online Services button. Usernames and passwords were included on the renewal forms that were mailed in early October, 2022. If you do not have your username and password, or have any other questions regarding online renewal, please call our office at 919-875-3612 or email us at information@nclicensing.org.

The following is a list of forms that are available on the Forms page of our website.

#### **Compliant Form**

- Complaint against licensed or unlicensed contractors

#### Forms Requiring Payment (check, money order or online)

- I. Trade Name Change (licensee or firm name)
- 2. Add Sub-licensee
- 3. License Activation (for examinees who have passed examination)

#### Forms Requiring No Payment

- I. Address Change: Licensee (may be changed online)
- 2. Address Change: Sub-licensee (may be changed online)
- 3. Delete Sub-licensee

#### **Renewal Forms**

- 1.2023
- 2. 2023 and Previous Years if expired in 2020, 2021 or 2022)

#### **New Applicant Forms**

- I. Plumbing, Heating, and Fuel Piping Contractor Examination Application
- 2. Fire Sprinkler Installation & Inspection Contractor Examination Application
- 3. Residential Fire Sprinkler Installation Contractor
- 4. Plumbing, Heating & Fuel Piping Technician
- 5. Fire Sprinkler Inspection Technician Examination Application (with or without NICET)
- 6. Fire Sprinkler Maintenance Technician
- 7. Book Reference List for All Applicants
- 8. Request for Exam Review (for examinees who have failed a recent exam)
- 9. Re-examination Application (for examinees who wish to retake an exam)
- 10. Customer Affidavit

#### **Military & Military Spouse Applicant Forms**

I. Active Duty or Veteran: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor

2. Military Spouse: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor

#### **Online Ordering**

- I. Books Board's Laws & Rules and Business & Project Management for Contractors
- 2. Request for Copy (Reprint) of License
- 3. Request for Register of Licensees
- 4. Request for Verification of License
- 5. Request for Listing of Current Applicants



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### **Disciplinary Actions**

The Board is required by law to investigate every complaint received. If the findings of the investigation indicate that a violation of the laws and rules enforced by the Board have occurred by a licensed or unlicensed individual and/or firm, a number of disciplinary actions are available to the Board. Recent actions are reflected in the four reports that follow:

- Letter of Caution Report
- Consent Agreement Report

- Field Resolution and Resolution Review Committee Report
  - Attorney's Report

### Letter of Caution Report

Upon completion of investigations, a Letter of Caution can be issued to a licensee where it is determined through an investigation that a violation has occurred by one of our licensees. The Letter of Caution is issued to address and affirm issues they should improve upon or be more mindful of for future jobs:

Name	City/Town	Date	Name	City/Town	Date
Argento, Michael J.	Gastonia, NC	08/31/2022	Kennedy, David M.	Madison, NC	09/07/2022
Bartali, Kamal	Cary, NC	07/28/2022	Lee, David D.	Fairmont, NC	09/23/2022
Davis, James C.	Mt. Airy, NC	05/19/2022	Parker, Larry	Parkton, NC	09/22/2022
Elliott, James A.	Rutherfordton, NC	07/13/2022	Pharr, David	Manns Harbor, NC	09/06/2022
Franklin, Stevie J.	Asheville, NC	07/26/2022	Prather, Russell E.	Cornelius, NC	08/15/2022
Henson, Randall W.	Rutherfordton, NC	07/07/2022	Spencer, Rickey Asheb	oro, NC 8/30/2022	
Honrada, Ricardo D.	Fayetteville, NC	03/30/2022	Wilson IV, Clarence A.	Mills River, NC 5/	27/2022
Jaimes, Belem	Troy, NC	07/28/2022			

### **Consent Agreement Report**

A Consent Agreement is an affidavit taken from unlicensed individuals performing plumbing, heating and fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals performing contracting activities in the field, please report them to this office.

Name	City/Town	Date	Name	City/Town	Date
Albritton, Matthew S.	Kannapolis, NC	10/13/2022	Gilmer, Robert D.	Charlotte, NC	09/12/2022
Ballard, Brian T.	Hendersonville, NC	09/23/2022	Hudson, Bryan T.	Aurora, NC	10/04/2022
Brunswick Co. Public Utl.	Supply, NC	08/17/2022	Kallam, Kyle	Whitsett, NC	10/18/2022
Canales, Bryan O.	Benson, NC	09/09/2022	Lindholm, Gregory A.	Asheville, NC	10/14/2022
Canales, Carlos A.	Benson, NC	09/09/2022	McNinch, Leon	Aurora, NC	10/04/2022
Coble Jr., Donnie R.	Sophia, NC	10/10/2022	Mencia-Murillo, Romel E.	Benson, NC	09/16/2022
Edmondson, Timothy A.	Rocky Mount, NC	10/04/2022	Rieve-Donelson, Heather	Powells Point, NC	09/06/2022
Ferraro, Anthony M.	Landrum, SC	10/14/2022	Robbins, Bradford A.	Supply, NC	08/17/2022
Floyd, Samuel	Hillsborough, NC	10/06/2022	Valdez, Horacio	Beaufort, NC	08/30/2022
Frost, Tracy L.	Morehead City, NC	10/27/2022	Wray, Joshua	Mebane, NC	09/20/2022

### **Resolution Agreements**

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of sanctions against their license instead of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case. Specific details of any of the following cases can be

#### Absher, Eric DBA Go Green Plumbing, Heating & Air Greensboro, NC License# 31590 P-I

Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide supervision, violated the minimum standard of the North Carolina State Plumbing Code, improperly sized the water heater by not taking into consideration the heating requirement for two wet coils supplying heating for the home, and a licensee of the firm failed to endorse/execute the contract. Resolution Conference held 08/18/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Eric Absher, number 31590, P-I, and that of the firm shall be placed on a unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Avery, Donny R. Avery Plumbing Company Angier, NC License# 10886 P-I

Allegations the licensee failed to withhold taxes and paid an unlicensed individual using a 1099 Form in violation of the Board rule 21 NCAC 50.0512.

Resolution Agreement held 08/16/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Donny Avery, number 10886 P-I, and the license of the firm be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the suspension, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and shall submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation

submit a copy of all employee W-2's.

#### Baumgarner, Troy Vidal Plumbing Lincolnton, NC License# 23945 P-II

Allegations the licensee failed to obtain a permit prior to commencing work, violated the State Plumbing Code, and violated GS 87-21 and the Board laws and rules sec-

tions .0402, .0403 and .0406.

Troy Baumgarner signed a Proposed Resolution Agreement 09/01/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Troy Baumgarner, number 23945 P-II, and that of the firm shall be placed on unsupervised probation for a period of twelve months Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Beaver, Richard Cole's Heating & Air LLC Locust, NC License# 30347 H-3-I

Allegations the licensee failed to assure that he was empowered to act for the firm Wayne Cole Heating & Air, LLC, allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job, allowed the use of license by unlicensed persons, failed to provide supervision, licensee failed to perform load calculation(s), and worked full-time (30 to 40 plus hours a week) for Air Central of the Carolinas doing service work, while licensing for both Wayne Cole Heating & Air, LLC & Cole's Heating & Air, LLC. The licensee was getting paid \$450.00 per week to license the firms.

Resolution Conference held 08/16/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Richard Beaver, number 30347 H-3-I, and the license of the firm be suspended for a period of twelve (12) months. Upon successful completion of the suspension, his license, number 30347 H-3-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Brindle, Mark J. Solomon Plumbing, Co. Midland, NC License# 27875 P-I. RFSC

Allegations the licensee failed to provide supervision, violated the minimum standard of the North Carolina State Plumbing Code, and improperly installed drainage piping, both inside the home and outside the home, with

sections back grading and bellies in the piping. Resolution Conference held 07/01/2022. Amended Proposed Resolution Agreement signed 09/22/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Mark Brindle, 27875 P-I & RFSC, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during the probation, within the next 30 days of approval of this agreement the licensee shall submit to the Board's office copies of all firm employees W -4's and by March I of each year during the probation, submit a copy of all employees W-2's, enroll in, attend, and complete the series of Special Plumbing Code and Design courses and the Special Board Laws & Rules course.

#### Brown, Franklin Brown F Plumbing Atkinson, NC License# 22205 P-II

Allegations the licensee failed to take the Board Laws and Rules class as agreed upon on a December 2020 Letter of Caution and started work on two (2) jobs prior to assuring the permit was secured under the licen-

see's license number and name. Resolution Conference held 09/19/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Franklin Brown, number 22205 P-II, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Brown, William K. Brown Service Group, Inc. Salisbury, NC

License# 13835 PH-1,2&3-1

Allegations the licensee failed to provide adequate supervision, failed to maintain a copy of the load calculation(s) for six years, hired an unlicensed person to perform a load calculation with incorrect data in the load calculation, violated the minimum standard of the North Carolina State Mechanical Code,

and installed mismatched equipment. Resolution Conference held 08/172022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of William Brown, number 13835 PH-1,2&3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during the probation, within sixty (60) days of approval of this agreement the licensee shall submit to the board a detailed ACCA approved Room-By-Room load calculation that the licensee personally performed for the home located at 2557 Daniel Shrum Rd. In lieu of the licensee performing this load calculation the licensee may hire, at his expense, a North Carolina Professional Engineer who specializes in residential HVAC load calculations to perform the room-by-room load calculation. If the load calculation demonstrates that the equipment installed is undersized or oversized, the contractor shall return to the home, obtain a permit from the local inspection department, and install the properly sized matched equipment. The licensee shall obtain a final inspection, if necessary, within 80 days of approval of this agreement. This work shall be performed at no expense to the homeowner. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses.

#### Castaneda, Luis Allies Waterworks LLC Whitsett, NC License# 30343 P-I

Allegations the licensee allowed the use of license by unlicensed persons by issuing 1099's to unlicensed persons for about 6 years and engaged in business under a different name or style of business than appears on his license.

Resolution Conference held 09/21/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Luis Castaneda, number 30343 P-I, and that of the firm shall be placed on supervised probation for a period of thirty-six (36) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Contractor Business Management courses and the Special Board Laws & Rules course.

#### Crisp, Stacy L. A/C Heating & Air of WNC, Inc. Marion, NC License# 22572 H-1-2-3-1

Allegations the licensee failed to provide adequate supervision, failed to obtain a permit prior to commencing work, and failed to request final inspection within 10 days of

substantial completion of the work. Stacy Crisp signed Proposed Resolution

#### Agreement 08/15/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Stacey Crisp, number 22572 H-1-2-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, the licensee shall enroll in, attend the Special

Board Laws & Rules course.

#### Davis, Darius E. D & D HVAC, LLC Sanford, NC License# 23371 H-2&3-1

Allegations the licensee failed to provide load calculation(s) to the homeowner upon request, according to the load calculation the licensee installed an undersized condensing unit, violated the minimum standard of the North Carolina State Mechanical Code, after starting the system, the contractor failed to properly balance the system to assure the air distribution (CFM's) were being delivered to each room, failed to provide adequate supervision, and contractor has 14 to 15 employ-

ees, yet he is the only licensee for the firm. Resolution Conference held 09/23/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Darius Davis, number 23371 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during the probation, within 30 days of approval of this agreement the licensee shall hire a third-party North Carolina Licensed Professional Engineer who specializes in residential load calculations to perform a detailed and thorough Manual I & Manual S calculation for both the bonus room and for the main floor of the house. If the calculations reveals that the equipment is undersized or oversized, and if approved by the homeowner, within 60 days of approval of this agreement the contractor shall obtain a permit from the local inspection department, replace the equipment with properly sized equipment and obtain a final approved inspection. The contractor shall submit to the board evidence of the final

approved inspection within 70 days of approval of this agreement. This work shall be performed at the licensee's expense. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and complete the series of Special Mechanical Code courses.

#### Deal, Brandon Carolina East Htg., Cooling & Electrical Macclesfield, NC License# 22129 H-1,2&3-I

Allegations the licensee failed to perform load calculation(s), and oversized the air conditioning system installed (based on the other contractor's load calculation and Mr. Deal's

3rd party contractor calculation). Resolution Conference held 09/22/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Brandon Deal, number 22129 H-1,2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses. If allowed by the homeowner, within 60 days of the approval of this agreement the contractor shall return to the home located at 241 Country Club Dr., and replace existing condensing unit and cooling coil and with properly sized equipment per Manual I. The contractor shall obtain a permit from the local inspection department and obtain a final inspection within 70 days of approval of this agreement. The contractor shall submit evidence to the board within 5 days of the final inspection that the work was approved. This work shall be performed at no expense to the homeowners.

#### Eakes, Michael Phoenix Facility Solutions Grimesland, NC License# 31471 P-I

Allegations the licensee licensed West Shore Home, LLC and was an hourly employee for the firm, and during the same time he operated Phoenix Facility Solutions where staff was performing new construction plumbing, operated two offices with the use of only one license

allowed the use of license by unlicensed persons by issuing 1099's to unlicensed persons, failed to provide supervision and engaged in business under a different name or style of

business than appears on his license. Resolution Conference held 09/19/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Michael Eakes, number 31471 P-I, and that of the firm shall be placed on unsupervised probation for a period of twenty-four (24) months. Among other requirements during the probation, the licensee shall enroll in, attend the Special Board Laws & Rules course, and complete the series of Special Contractor Business Management courses.

#### Eanes, John R. Eanes Heating & Air Conditioning, LLC High Point, NC License# 32629 H-2&3-I

Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide supervision, violated the minimum standard of the North Carolina State Mechanical Code, and sublicensee limmie Eanes left the employment of the firm on or about November 6, 2022, sublicensee Ronnie Wyrick left the employment of the firm on or about March 15, 2021, sublicense Michael Jacobs eft the employment of the firm on or about June I, 2021, and Keith Purganson left the employment of the firm on or about June 28, 2021, but the firm nor the licensees notified the board of their departure until December of 2021

Resolution Conference held 08/18/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of John R. Eanes, number 32629 H-2&3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

#### Ellington, Thomas A. Wall HVAC Services Kenly, NC License# 9610 PH-1&3-1

Allegations the licensee failed to provide adequate supervision, violated the minimum standard of the North Carolina State Mechanical Code, and failed to perform load calculation(s).

Resolution Conference held 08/18/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Thomas Ellington, number 9610 PH-1&3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

#### Gattis, Tracy T & G Mechanical Services Inc. Aberdeen, NC

License# 20674 H-2&3-I Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to perform load calculation(s), and violated the minimum standard of the North Carolina State Mechanical

#### Code.

Resolution Conference held 09/22/2022 The Review Committee found that the allegations were supported by the evidence and recommended that the license of Tracy Gattis, number 20674 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses.

#### Green, David

#### Signature Plumbing of Charlotte LLC Charlotte, NC License# 23974 P-I

Allegations the licensee engaged in business under a different name or style of business

than appears on his license. David Green signed a Proposed Resolution

Agreement 03/22/2022. Resolution Conference held 08/15/2022.

Accept Proposed Resolution Agreement. The Review Committee found that the allegations were supported by the evidence and recommended that the license of David Green, number 23974 P-I, and that of the firm shall be placed on an unsupervised probation for a period of (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Griffin, John G. Wilson, NC

#### License# 22112 P-II

Allegations the licensee worked outside of his license classification, failed to obtain a permits and inspections, and failed to provide

proper supervision. ohn Kennedy Griffin signed a Proposed Res-

olution Agreement 09/22/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of John Kennedy Griffin, number 22112 P-II, shall be surrendered to the Board. The act of surrendering the license is considered a license revocation.

Griffin, William A. **Griffin's Plumbing** Macclesfield, NC License# 20503 P-I Allegations of misconduct in that the licensee falsified a DWV water test on the new drainage system that had leaks, violated the minimum standard of the North Carolina State Plumbing Code, allowed the use of license by unlicensed persons by paying an unlicensed person in cash without withholding any taxes, failed to provide adequate supervision, and

licensee over cut joints and studs. Resolution Conference held 09/20/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of William Griffin, number 30996 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the licensee shall enroll in, attend, and complete the series of Special Plumbing Code and Design courses.

#### Hemingway, Keonte L. Hemingway & Lily's HVAC, LLC Charlotte, NC License# 33598 H-3-1

Allegations the licensee violated the minimum standard of the North Carolina State Mechanical Code, violated the minimum standard of the North Carolina State Fuel Gas Code, subcontracted work from an unlicensed individual, and failed to provide the load calculation to the board's investigator

#### upon request,

Resolution Conference held 08/17/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Keonte Hemingway, number 33598 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete Special Mechanical Code courses and the Special Board Laws & Rules course.

#### Hines, Gregory L. **Gregory Lee Hines, Sole Proprietorship** Four Oaks, NC

License# 31406 H-3-1

Allegations of licensee obtained over \$36,000 in deposits for work that requires a license on 11 homes, while not performing any work on a majority of the jobs and only a small

amount of work on a few other jobs. Resolution Conference held 09/20/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Gregory Lee Hines, number 21406 H-3-I, and the

license of the firm be Permanently Revoked.

#### Hobgood, William F. Hobgood Air, Inc. Oxford, NC

#### License# 30996 H-3-I

Allegations the licensee initially failed to perform a load calculation on the installation of a Bryant condensing unit, coil and line set to be tied into the existing duct work installed by the manufacture of a double wide mobile home.

William Hobgood signed a Proposed Resolution Agreement 08/11/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of William Hobgood, number 30996 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Hughes, Joe D. Ultimate Plumbing(dba) Mooresville, NC License# 29048 P-II

Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code. violated the minimum standard of the North Carolina State Fuel Gas Code, installation performed in a poor workman like manner / poor workmanship, and violated probation. Resolution Conference held 08/15/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of loe David Hughes, number 29048 P-II, and the license of the firm be suspended for a period of nine (9) months, and that the suspension shall be held in abeyance and that his license, number 29048 P-II, and the license of the firm, shall be placed on a thirty-six (36) month supervised probation. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Fuel Gas Code and Design courses.

#### Jean, Francois Jean-Jones Heat & Air, LLC Frankin, NC License# 33468 H-3-I

Allegations the licensee failed to perform a load calculation in violation of GS 87-21 section .0505 and contracted with an unlicensed general contractor for the installation of a

new heat pump and associated duct work. Francois Jean signed a Proposed Resolution Agreement 09/19/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Francois Jean, number 33468 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. By October 7th, 2022, the contractor shall return to the home located at 599 Savannah Drive, and perform a thorough Manual | load calculation, Manual D duct design and a Manual S equipment sizing calculation. If the calculations reveals that the equipment or ductwork is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the improperly sized equipment and ductwork with properly sized equipment and ductwork and obtain a final inspection by October 21st, 2022. The contractor shall submit evidence to the board by October 28th, 2022, of the final inspection and shall submit a copy of all the calculations. This work shall be performed at no expense to the homeowners.

#### Jernigan, Jimmie Jimmie Jernigan Plumbing Benson, NC License# 15884 P-I

Allegations the licensee obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job and licensed the firm of Clancy Plumbing from about December 15, 2018, through February 21, 2022, while not being active with the firm and receiving \$800.00 per month for the use of the license.

Resolution Conference held 09/20/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Jimmie Jernigan, number 15884 P-I, and the license of the firm be suspended for a period of six (6) months. Upon successful completion of the suspension, his license, number 15884 P-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months.

#### Lathe, Warren S. IV Lathe Plumbing, LLC Marshville, NC License# 19520 P-1

Allegations the licensee failed to assure that the total scope of work was listed on the permit, failed to obtain permit(s) prior to commencing work for work not listed under the permit (all of the work has now been permitted), failed to request inspections within 10 days of substantial completion of work for work not listed under the permit, failed to obtain final inspections for work not listed under the permit (all of the work has now been permitted), allowed the use of the license by paying employee with a 1099, failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code, and violated a Letter of Caution that required the licensee to take

the Board's Laws and Rules class. Resolution Conference held 08/16/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Warren Lathe, number 19520 P-I, and the license of the firm be suspended for a period of three (3) months. Among other requirements during the suspension, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 19520 P-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Within sixty (60) days of executing this agreement the licensee shall submit to the Board's office copies of all employees W-4's and by the end of the probation submit a copy of all employees W-2's by April I for each year of the probation, and the licensee shall enroll in, attend, and complete the series of Special

Plumbing Code and Design courses.

#### Lilly, Rex D. Lilly Enterprises HVAC, LLC Vanceboro, NC License# 22701 H-1,2&3-1

Allegations the licensee violated the State Mechanical Code.

Rex Lilly signed a Proposed Resolution Agreement 01/25/2022.

Resolution Conference held 09/19/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Rex Lilly, number 22701 H-1,2&3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete

the Special Board Laws & Rules course.

#### McKeand, Gary R. McKeand Mechanical Services, Inc. Waxhaw, NC License# 34025 H-3-I

Allegations the licensee contracted and performed work outside current licensing qualifications by performing plumbing work while only holding a Heating Group 3, Class I license, failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, and failed to obtain final inspections. Resolution Conference held 08/16/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Gary McKeand, number 34025 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Morris, William L. Morris Heating & Cooling LLC Marion, NC

#### License# 26990, H-3-I

Allegations the licensee contracted with an unlicensed general contractor for the installation of a new main trunk line and some minor duct work, failed to obtain a permit prior to commencing work, and violated the State

Mechanical Code. William Morris signed a Proposed Resolution Agreement 09/16/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of William Morris, license, number 26990, H-3-I, and that of the firm shall be continued on unsupervised probation until September 9th, 2023.

#### Payne, Eric A. Jr. Fayetteville, NC License# 32620 H-3-II

Allegations the licensee failed to obtain a permit prior to commencing work and violated the State Mechanical Code.

Eric Payne signed a Proposed Resolution Agreement 01/06/2022.

Resolution Conference held 09/22/2022 The Review Committee found that the allegations were supported by the evidence and recommended that the license of Eric Payne, Jr., number 32620 H-3-II, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18 months). Among other requirements during the probation, the licensee shall enroll in, attend and complete

the series of Special Mechanical Code courses, complete the series of Special Fuel Gas Code and Design courses, assure that his license has been listed with the Board in the name that he currently operates his business, assure that he has renewed his license prior to the expiration of the license on December 3 Ist of each year;/ renew license within ten days.

Ponce, Edward Valley Boiler & Mechanical, Inc. Roanoke, VA License# 32509 H-1-I Allegations the licensee contracted work outside his license gualifications.

Edward Ponce signed a Proposed Resolution

#### Agreement 09/29/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Edward Ponce, number 32509 H-I-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Ramsey, Gerald Sr. Pittsboro, NC License# 4401 P-I

Allegations the licensee allowed the use of license by unlicensed persons, allowed the issuance of 1099's to unlicensed persons, allowed the engagement in business under a different name or style of business than appears on his license (license in individual name), and submitted false information to the Board in that the sworn notarized statement was not signed by the licensee in front of the notary, but instead was signed by the licensee's son.

Resolution Conference held 08/15/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Gerald Ramsey, Sr. be retired, license, number 4401 P-I, and that the licensee surrender his license to the Board. The act of surrendering his license to the Board will be considered as a revoked license.

#### Steedly, Barry Eastover, NC License# 9980 H-3-I

Allegations of selling license in that Mr. Steedly licensed a firm for more than 9 years, was not active, employed elsewhere fulltime, and was getting paid in cash for the use of the license, and allowed the use of license by unlicensed person/firm.

Resolution Conference held 09/22/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Barry Steedly, number 9980 H-3-I, and the license of the firm be suspended for a period of twenty-four (24) months, beginning when this agreement is approved by the Board (this agreement. Among other requirements during the suspension, upon successful completion of the suspension, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 9980 H-3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months.

ba of twenty-four (24) months

#### Stewart, Roy, Jr. R & R HVAC LLC Charlotte, NC License# 14244 H-1,2&3-1

Allegations the licensee failed to have his license listed properly with the firm and failed to ensure that all employees of the firm were bona fide employees.

Roy Stewart signed a Proposed Resolution Agreement 09/12/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Roy Stewart, number 14244 H-1,2&3-I, and that of the firm shall be placed on an unsupervised probation for a period of (12) months. Among other requirements during the probation, within (30) days of executing this agreement the licensee shall submit to the Board's office copies of all employees W-4's and by the end of the probation submit a copy of all employees W-2's for each year of the probation, by September 19th 2022, assure that his license has been listed with the Board in the name that he currently operates his business, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Tucker, Finley T. A&R Heating & Air Jacksonville, NC License# 28706 FP, Retired

Allegations the licensee violated probation. Resolution Conference held 08/19/2022. The Review Committee found that the allegations were supported by the evidence and recommended that Finley Thomas Tucker be approved to sit for the Fuel Piping (FP) Contractor license. Upon passing the examination and payment of the licensee fee, his license, number 28706, and the license of the firm shall be suspended for a period of three (3) months. Among other requirements during the suspension, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 28706 FP, and that of the firm shall be placed on supervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the series of Special Fuel Gas Code and Design courses.

#### Ultimate Plumbing Mooresville, NC License# 29-48, PII

Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code, violated the minimum standard of the North Carolina State Fuel Gas Code, installation performed in a poor workman like manner /

poor workmanship, and violated probation. Resolution Conference held 08/15/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Joe David Hughes, number 29048 P-II, and the license of the firm be suspended for a period of nine (9) months, and that the suspension shall be held in abeyance and his license, number 29048 P-II, and the license of the firm, shall be placed on a thirty-six (36) month supervised probation. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Fuel Gas Code and Design courses.

#### Wooten, David Raleigh, NC License# 17627 PH-3-1

Allegations licensee received \$300.00 for each permit that was pulled for work contracted by unlicensed person named Michael Lynch of Allstar Heating & Air out of Johnson County, NC, allowed the use of license by unlicensed persons, failed to provide supervision, failed to perform load calculation(s), allowed the use of license by unlicensed persons by issuing 1099's to unlicensed persons, and obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job.

Resolution Conference held 09/20/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of David Wooten, number 17627 PH-3-I, and the license of the firm be Revoked.

### **Attorney's Report**

The Attorney's Report contains information pertaining to cases that have been adjudicated in a formal proceeding of the Board or in the courts of North Carolina. Disciplinary actions against licensed contractors are typically imposed during a Formal Hearing of the Board. Court action is necessary when unlicensed individuals are in violation of the Board's Laws and Rules or in circumstances where licensees are found to be in violation of any order(s) issued by the Board.

Ayala, Lazaro C.

Lillington, NC Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/26/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### **Bunn, Preston L.** Preston L Bunn Heating & Air, LLC Raleigh, NC

Formal Hearing held 11/9/22. Formal Order issued permanently revoking Respondent's license as a result of a violation of the terms of an existing probation and an installation of a replacement HVAC system without performing a load calculation, without obtaining a permit or an inspection by the local authority having jurisdiction over code enforcement and allowing an installation contrary to the requirements of the Building Code to occur under Respondent's license. Order served on 11/21/22.

#### Cameron, lam

Cameron, NC Allegations of heating contracting without a license. Order Granting Default Judgment and enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Campbell, Aston Durham, NC

Consent Order entered 12/12/22 placing license on probation for 18 months based on allegations of lack of supervision of NC operation by Florida contractor.

#### Cano, Moises L. Greer, SC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 10/20/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Castlebury, Edward Erwin, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 11/7/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 11/19/22.

#### Creech, Roy L. Seven Springs, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/20/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Dye, Stefen C. Young Harris, GA

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/10/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so

#### Elhasnaour, Mustapha Fuguay Varina, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 11/7/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Franklin, Michael B. Rutherfordton, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/13/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Fuentes, Jorge I. East Flat Rock, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 11/16/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Graham, Bryan P. Lumberton, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/10/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Hernandez, Rangel F. China Grove, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 11/2/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so

#### Jackson, David Mooresboro, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 11/10/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so

#### Karpenko, Christopher Mt. Airy, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 11/15/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Kincaid, Kenneth **Connelly Springs, NC**

Allegations of heating contracting without a valid license in violation of prior Judgment of Permanent Injunction. Show Cause hearing scheduled for 11/14/22. Proposed Order forwarded to Judge 8/4/22. Defendant served 8/16/22. Consent Order of Contempt filed 11/18/22 ordering the Defendant to pay a \$1,000.00 fine.

#### Kisiah Jr., Joseph Kings Mountain, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/20/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 11/8/22.

#### Kline, Joseph C. Kill Devil Hills, NC

Formal Hearing scheduled September 15, 2022 on alleged violations of prior suspension by contracting systems at a Subway Restaurant and a Ramada Plaza Hotel during the period of license suspension. License permanently revoked.

#### Loudermilk, Lori M. Young Harris, GA

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 10/20/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Mandragon, Ivan Greensboro, NC

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 11/22/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Ortiz, Jose J. Norcross, GA

Allegations of heating contracting without a valid license. Order granting Permanent Injunction by Summary Judgment filed 10/31/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Toshach III, Charles J. Greenville, NC

Formal Hearing scheduled for 11/9/22 and Consent Order approved placing Defendants on probation for 24 months arising from misconduct and a failure to properly supervise plumbing installations for private dormitory project.

#### Weatherman, Ben A. King, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 11/2/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 11/17/22.