

# **State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors**

### May 2022

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State Board of Examiners of Plumbing, Heating, and Fire Sprinkler Contractors

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www.nclicensing.org www.ncplumbing.org www.ncheating.org www.ncfiresprinkler.org

## New HVAC Equipment Energy Requirements

The U.S. Department of Energy establishes efficiency standards for a wide variety of appliances and equipment, and periodically updates the requirements. All central air conditioners (A/C) and heat pumps (H/P), whether split system or packaged, manufactured after December 31, 2022, must comply with these Federal Regional Standards.

Many manufacturers will make equipment compliant with the new requirements months in advance so that inventory is available to contractors prior to the effective date. The DoE splits the lower 48 states into three regions: North, Southeast, and Southwest, with North Carolina being in the Southeast region.

The following requirements for North Carolina are set to take effect on January 1, 2023.

<u>Packaged Units</u> A/C: 15 SEER H/P: 15 SEER, 8.8 HSPF

Split Systems A/C: 15 SEER H/P: 15 SEER, 8.8 HSPF

Any air conditioning only (split or package) is based on the date of installation and equipment manufactured on or before December 31, 2022, cannot be installed on or after January 1, 2023.

Any heat pump (split or package) is based on the date of manufacture and equipment meeting the new standards can be installed after January 1, 2023, regardless of manufacture date.

HVAC contractors and equipment suppliers need to know that the new standards may worsen current supply chain and equipment availability issues. Since these issues first surfaced in the pandemic of 2020, many contractors and suppliers have worked diligently to acquire and stockpile equipment whenever and however possible to help offset availability and pricing concerns.

Under the impending changes, any non-compliant equipment in stock will be illegal to install in the Southern region after December 31, 2022. The new standard also raises concerns regarding the ability of manufacturers to ramp up production and inventory of compliant equipment to meet the high demand in both the new install and replacement markets.

In an attempt to provide some relief to the industry, U.S. Congressman Bob Latta (R-Ohio) introduced H.R. 5845 - Strengthening Manufactured Appliance Requirements towards Thoughtful (SMART) Energy Efficiency Act (H.R. 5845). The proposed legislation would amend the Energy Policy and Conservation Act by replacing the words "shall apply to any such product installed on or after the effective date of the standard in States in which the Secretary has designated the standard to apply," with the words "shall apply, in States in which the Secretary has designated the standard to apply, to any such product that is manufactured or imported into the United States on or after the effective date of the standard".

The bill, which may be viewed at https://www.congress.gov/117/bills/hr5845/BILLS-117hr5845ih.pdf, was introduced on November 4, 2021. It was referred to the Subcommittee on Energy the following day, and to date, there has been no further action.

Suppliers and contractors are urged to spend the remainder of 2022 developing and implementing a strategy to prepare for the impending changes which will take effect in 2023 if Congress fails to modify the DoE requirements.

## North Carolina State Agencies

NC Department of Insurance		919-647-0000 800-634-7854	NC Department of Labor	919-807-2796
•	Mark Burns	919-647-0006	NC Department of Revenue	919-252-3052
	(Plumbing Interpretations)		NC Electrical Licensing Board	919-733-9042
•	David Rittlinger (Mechanical & & Gas Interpretations)	919-647-0008	NC General Contractor Licensing Board	919-571-4183
•	Dan Dittman (Energy Interpretations)	919-647-0012	NC Irrigation Contractors Licensing Board	919-872-2229
•	Charlie Johnson (Fire Code Interpretations)	919-647-0094	NC Refrigeration Licensing Board	919-779-4711
•	Lori Miller (Building Code Bookstore)	919-647-0029	NC Secretary of State	919-807-2225
			NC Well Commission	919-707-5882

## State Board of Examiners of Plumbing, Heating & Fire Sprinkler

**Field Staff Directory** 

#### **Office Staff Directory**

Office Phone: E-mail:	919-875-3612 information@n	clicensing.org	<b>David Boulay</b> Administrative Officer Region I	984-884-5790	
<b>Dale Dawson</b> Executive Direc ddawson@nclic		984-884-5788	dboulay@nclicensing.org <b>Tom Johnston</b> Senior Administrative Officer	984-884-5805	
	nology Manager	984-884-5787	Region 2 tjohnston@nclicensing.org		
ehall@nclicensing.org <b>Sharon Pittman</b> Legal Coordinator spittman@nclicensing.org		984-884-5804	<b>Jonathan Yerkes</b> Administrative Officer Region 3 jyerkes@nclicensing.org	984-884-5785	
DeJaya (DJ) Se Executive Assist dsoltys@nclicen	ant / Licensing C	<b>984-884-5789</b> oordinator	<b>Scott Bilsky</b> Administrative Officer Region 4 sbilsky@nclicensing.org	984-884-5799	
Ashley Tipton Exam Coordinat atipton@nclicer	tor	984-884-5753	Kevin Thibodeaux Administrative Officer Region 5	984-884-5795	
Kerry Waldroup Complaint Coordinator complaint@nclicensing.org Marielle Warren Office Support Assistant mwarren@nclicensing.org		984-884-5730	kthibodeaux@nclicensing.org To view a regional map and listir our website at www.nclicensing.		
		984-884-5793	"Administrative Officers" buttor		
<b>Livia Rojas</b> Receptionist Irojas@nclicensi	ing.org	984-884-5791			

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## Website Information: www.nclicensing.org (Forms)

Online license renewal is available for licensees who wish to use it and pay the renewal fee with a MasterCard or Visa. The online renewal link can be found on the home page of our website. If you do not have your username and password, or have any other questions regarding online renewal, please contact DeJaya "DJ" Soltys at 984-884-5789.

#### **Compliant Form**

- Complaint against licensed or unlicensed contractors

#### Forms Requiring Payment (check, money order or online)

- I. Trade Name Change (licensee or firm name)
- 2. Add Sub-licensee
- 3. License Activation (for examinees who have passed examination)

#### Forms Requiring No Payment

- I. Address Change: Licensee (may be changed online)
- 2. Address Change: Sub-licensee (may be changed online)
- 3. Delete Sub-licensee

#### **Renewal Forms**

- I. 2022 without Sublicensees
- 2. 2022 with Sublicensees
- 3. 2022 and Previous Years with or without Sublicensees (if expired in 2019, 2020, or 2021)

#### **New Applicant Forms**

#### NEW— Online Application Available

- I. Plumbing, Heating, and Fuel Piping Contractor Examination Application
- 2. Fire Sprinkler Installation & Inspection Contractor Examination Application
- 3. Residential Fire Sprinkler Installation Contractor
- 4. Plumbing, Heating & Fuel Piping Technician
- 5. Fire Sprinkler Inspection Technician Examination Application (with or without NICET)
- 6. Fire Sprinkler Maintenance Technician
- 7. Book Reference List for All Applicants
- 8. Request for Exam Review (for examinees who have failed a recent exam)
- 9. Re-examination Application (for examinees who wish to retake an exam)
- 10. Customer Affidavit

#### **Technical Examination Waiver Forms (State Specific)**

I. South Carolina Exam Waiver (currently licensed SC residential plumbing / HVAC contractors ONLY)

#### **Military & Military Spouse Applicant Forms**

- I. Active Duty or Veteran: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor
- 2. Military Spouse: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor

#### **Online Ordering**

- I. Books Board's Laws & Rules and Business & Project Management for Contractors
- 2. Request for Copy (Reprint) of License
- 3. Request for Register of Licensees
- 4. Request for Verification of License
- 5. Request for Listing of Current Applicants



## **Disciplinary Actions**

The Board is required by law to investigate every complaint received. If the findings of the investigation indicate that a violation of the laws and rules enforced by the Board have occurred by a licensed or unlicensed individual and/or firm, a number of disciplinary actions are available to the Board. Recent actions are reflected in the four reports that follow:

- Letter of Caution Report
- Consent Agreement Report

- Field Resolution and Resolution Review Committee Report
- Attorney's Report

## Letter of Caution Report

Upon completion of investigations, a Letter of Caution can be issued to a licensee where it is determined through an investigation that a violation has occurred by one of our licensees. The Letter of Caution is issued to address and affirm issues they should improve upon or be more mindful of for future jobs:

Name	City/Town	Date	Name	City/Town	Date
Decicco, James A.	Statesville, NC	01/13/2022	White, Jesse P.	Portsmouth, NH	01/14/2022
Haire, Justin M.	Autryville, NC	11/17/2021	Wilson, Otis	Lumberton, NC	02/9/2022
Machado, Michael A.	Raleigh, NC	12/20/2021			

## **Consent Agreement Report**

A Consent Agreement is an affidavit taken from unlicensed individuals performing plumbing, heating and fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals performing contracting activities in the field, please report them to this office.

<u>Name</u>	City/Town	Date	Name	City/Town	Date
Adams-Perez, Chelsea	Raleigh, NC	03/17/2022	lones, Dustin C.	Spindale, NC	12/28/2021
Adkins, Mark J.	Yadkinville, NC	03/08/2022	Jones, Nicholas J.	Dunn, NC	03/01/2022
Avery, Daniel K.	Newport, NC	03/22/2022	Kincaid Heating & Air	Connelly Springs, NC	
Barrett, Fredrick A.	Raleigh, NC	03/17/2022	Knight, Kirk	Graham, NC	03/17/2022
Carter, Bryce F.	Black Mountain, NC	02/14/2022	McKenna, Nathan G.	Raleigh, NC	03/16/2022
Clancy Jr., Arthur T.	Benson, NC	03/04/2022	Meeks, Phil	Pinehurst, NC	03/10/2022
Compton, Steven A.	Troy, NC	03/01/2022	Melnik, Mikhail V.	Charlotte, NC	02/28/2022
Cruz, Ivan	Mebane, NC	02/10/2022	Moss, Christopher	Angier, NC	04/06/2022
Eaker, Andrew M.	Gastonia, NC	03/31/2022	Petit, Tyler J.	Rosman, NC	02/28/2022
Ennaciri, Akram	Raleigh, NC	03/24/2022	Russell, William	Greensboro, NC	03/14/2022
Farnsworth, Christophe	er Matthews, NC	03/01/2022	Smith, Richard	Greensboro, NC	03/14/2022
Flinchum, Christopher	King, NC	03/07/2022	Stanley, James E.	Lakewood, CO	02/02/2022
Fowler, Lamont J.	Raleigh, NC	04/07/2022	Ward, William E.	Salemburg, NC	09/02/2021
Hernandez, Manuel A.	Troutman, NC	04/05/2022	Ward, William T.	Durham, NC	01/10/2019
Hinkle, Jonathan B.	Lake Lure, NC	03/23/2022	Wickline, Edward S.	Charlotte, NC	03/01/2022
Holley, Jacob	Rolesvile, NC	03/24/2021	Zimmerman, Todd C.	Advance, NC	12/16/2021

## **Resolution Agreements**

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of sanctions against their license instead of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case. Specific details of any of the following cases can be obtained by e-mail (information@nclicensing.org) or upon written request to the Board's office.

#### Barriage, David W. Flow-Rite Plumbing & Drain Service of Fayetteville, Inc. Hope Mills, NC License# 24095, P-I

Allegations that licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections,

failed to provide adequate supervision, and violated the minimum standard of the North Carolina State Plumbing Code.

Resolution Conference held 01/13/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of David Barriage, number 24095 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, within 30 days of approval of this agreement, return to this project, properly insulate the overhead water lines at the water heater that have not been insulated, relocate the water heater in the drain pan and assure that the temperature and pressure relief valve terminates into the pan with the proper air gap. Within 60 days of approval of this agreement the licensee shall send pictures of the corrections to the board investigator (Tom Johnston). Licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Benkovich, Joseph M. Hearth & Home Technologies LLC Charlotte, NC License# 21942, FP

Allegations that licensee failed to provide adequate supervision, and licensed the Charlotte location of Hearth & Home Technologies, LLC but allowed his license to be used to bid and contract fuel piping installations out of offices located in Wilmington. Joseph Benkovich signed a Proposed Resolution Agreement 12/21/2021.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Joseph Benkovich, number 21942 FPC, and that of the firm shall be placed on a supervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Cannon, Thomas H. Perkins Heating & Air Morganton, NC License# 11894, H-1,2&3-1

Allegations the licensee failed to obtain permit(s) prior to commencing work, failed to provide adequate supervision, failed to perform proper load calculation(s), and violated the minimum standard of the North Carolina State Mechanical Code, Resolution Conferences held 12/09/2021. The Review Committee found that the allegations were supported by the evidence and recommended that the license of the Thomas Cannon, number 11894 H-1,2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code and complete the Special Board Laws & Rules course.

#### Chaisson, Richard W. Rick's Htg & A/C Zionville, NC License# 21342, H-3-II

Allegations that the license failed to ensure that his name and license number was listed on the permit for the job, obtained money and failed to complete installation on two different jobs (job abandonment), failed to perform work per the contract with the homeowner, failed to perform proper load calculation(s), failed to respond to consumer in a timely manner/poor business practices, failed to hold written contracts, and quoted a system as a 3.5 ton, when the load calculation called for a 3 ton. Homeowner wanted 3.5 ton and the contracted agreed to the 3.5 ton.

Resolution Conference held 02/18/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Richard Chaisson, number 21342 H-3-II, be surrendered to the Board.

#### Deweese, Brian Baity Plumbing, Inc. Thomasville, NC License# 20809, P-I

Allegations that licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections,

failed to provide supervision, and violated the minimum standard of the North Carolina State Plumbing Code.

Resolution Conference held 02/14/2022 The Review Committee found that the allegations were supported by the evidence and recommended that the license of Brian Deweese, number 20809 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, if allowed by the homeowner, within 90 days of approval of this agreement, the licensee shall pull another permit, return to the home located at 114 Maplewood Ave. and correct the issue with clearance for removal of the water heater per NC Residential Building Code, section P2801.4 and M1305.1.2. Within 100 days of approval of this agreement the licensee shall submit evidence to the board of the passing final inspection for this new permit. Within 180 days from approval of this agreement the licensee shall have at least one plumbing sublicensee listed in the name of the firm. The licensee shall enroll in, attend, and complete the series of Special Plumbing Code and complete the Special Board Laws & Rules course.

#### Dillard, Russell L. All Trade Contractors (DBA) Raleigh, NC License# 33751, PH-3-1

Allegations that the licensee failed to obtain permit(s) prior to commencing work for the dehumidifier and ductwork installation at 1320 Spicer Ln., failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, failed to perform proper load calculation(s) which led to oversized equipment, violated the minimum standard of the North Carolina State Mechanical Code, violated probation in that the licensee failed to attend any of the Laws and Rules Classes scheduled during 2021.

Resolution Conference held 12/9/2021. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Russell Dillard, number 33751 PH-3-I, and that of the firm shall be suspended for a period of three (3) months, but the suspension shall be held in abeyance and his license, number 33751 PH-3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during the suspension, the licensee shall enroll in, attend, and complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

#### Dixon, Charles Charles In Charge Air Conditioning & Heating Charlotte, NC

#### License# 30069, H-3-I

Allegations licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections,

failed to provide supervision, failed to perform proper load calculation(s). Resolution Conference held 02/17/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Charles Dixon, number 30069 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Gore, Nicholas A. Gore Heating and A/C, Inc. Hope Mills, NC

License# s.25374 H-1,H2&H3-I

Allegations that the licensee failed to perform room by room load calculations on two new systems (equipment and ductwork replacements) installations when the licensee only performed a WrightSoft Mobile block load calculations, failed to perform a Manual D duct design for both of the new duct systems installed, installed a 5-ton unit on a ceria 1970 home serving about 1764 sq. ft. (first floor), installed a 2-ton unit on a ceria 1970 home serving about 800 sq. ft. (second floor), contracted plumbing work while only holding a Heating Group 2 and 3, Class I license, performed the plumbing installation on a water heater replacement where a tank type water heater was removed and a tankless water heater was installed, and violated the minimum standard of the North Carolina State Fuel Gas Code.

Resolution Conference held 01/14/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Nicholas Gore, number 25374 H-1,H-2&H3-I, and that recommended that the license of Joshua of the firm shall be placed on supervised probation for a period of twenty-four (24) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the series of Special Fuel Gas Code and Design courses and complete the Special Board Laws & Rules course.

#### Harrod, Brent Harrod Heating & Air, LLC Willow Spring, NC License# 33806 H-2&3-I

Allegations that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, failed to perform load calculation (s), and grossly oversized a replacement unit in a home that contributed to indoor air issues.

Resolution Conference held 01/12/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Brent Harrod, number 33806 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend, and

complete the series of Special Mechanical Design courses and the Special Board Laws & Rules course.

#### Johnson, Jimmy Bull City HVAC, Inc. Cedar Grove, NC License# 32630 H-3-I

Allegations that the licensee failed to ensure proper system operation.

Resolution Conference held 01/12/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of limmy Johnson, number 32630 H-3-I, and that of the Raleigh, NC firm shall be placed on an Unsupervised Probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course.

#### Jones, Joshua Carolina HVAC & Plumbing, LLC **Burlington**, NC

License# 29275, PH-1&3-I, H-2, RFSIC Allegations that licensee failed to withhold taxes and paid an unlicensed individual using a 1099 Form in violation of the Board rule 21 NCAC 50.0512.

Joshua Jones signed a Proposed Resolution Agreement 03/03/2022.

The Review Committee found that the allegations were supported by the evidence and Jones, number 29275 PH-1&3-I, H-2, and that ries of Special Plumbing Code and Design of the firm shall be placed on a unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

#### Kelly, Mangrum M. L. Kelly Heating & AC Co, Inc Charlotte, NC

License# 9305 H-3-I

Allegations that the licensee failed to obtain a permit prior to commencing work and failed to request final inspection within 10 days of substantial completion of the work, failed to perform the required block load calculation for the installation of a new Goodman gas furnace split system, licensee failed to withhold taxes and paid an unlicensed individual using a 1099 Form in violation of the Board rule 21 NCAC 50.0512, violated the State Mechanical Code, and failed to ensure that his contractor license number and qualification of the firm was displayed on firm contracts, proposals, permit applications, and telephone yellow page advertising.

Kelly Mangrum signed Proposed Resolution Agreement 10/20/2021.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Mangrum Kelly, number 9305 H-3-I, and that of the firm shall be placed on a unsupervised probation for a period of (24) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Lane, James

## JR Lane Plumbing, LLC

#### License# 10067, P-I

Allegations that the licensee violated the minimum standard of the North Carolina State Plumbing Code, failed to obtain a permit prior to commencing work, failed to obtain final inspections within ten (10) days of substantial completion of the work, and failed to provide adequate supervision for work performed under license.

James Lane signed a Proposed Resolution Agreement 03/08/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of lames Lane, number 10067 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the secourses

#### Lutz, William P. Comfort Monster CTL, Inc. Shelby, NC

#### License# 15343 H-2&3-I

Allegations that the licensee failed to obtain permit prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections after completion of the job, though eventually obtained a passed final inspection more than 2 months after the installation, failed to provide supervision, failed to perform load calculation on file number 2020-569, failed to use proper load calculation on file number 2021-538, failed to assure that the office address (1001 West Tremont Ave, Suite A, Charlotte, NC 28203) was listed with the board records, failed to meet the 1500 rule requirement, icensee has acknowledged that he is actively employed full-time elsewhere while his license has been listed in the firms, Absolute Comfort Heating & Air Conditioning, LLC and Comfort Monster CLT, Inc., and that work that requires a license is/was being performed while he was actively employed elsewhere (while work was being solicited or proposed, from which

contracts for such work are negotiated or entered into; from which requests for such work are received, accepted, or dispatched; and from which such work is carried out), violated the minimum standard of the North Carolina State Mechanical Code, failed to install a transition between the gas furnace and the cooling coil, condensate drain installed across the working platform/catwalk between the access opening and the cooling coil, failed to properly support the condensate drain line, violated the minimum standard of the North Carolina State Fuel Gas Code, failed to install a sediment trap on the gas line, failed to support the black iron gas line at the gas furnace, failed to assure a CO detector had been installed after replacing the gas furnace on both jobs, and created risk of personal injury or property damage in that there were two natural gas leaks. Resolution Conference held 02/15/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of William Lutz, number 15343 H-2&3-I, and the license of the firm be suspended for a period of twenty-four (24) months. Among other requirements during the suspension, by the close of business on 02/21/2022, the license shall remove his license from the firm Comfort Monster CTL, Inc. and place the license in the individual name, the licensee shall enroll in, attend, and complete the series of Special Fuel Gas Code and Design courses and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, number 15343 H-2&3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months.

#### McCracken, Malcolm Sanford, NC

#### License# 29173 PH-I-I, RFSC

Allegations that licensee failed to obtain a permit prior to commencing work, failed to provide provide adequate supervision, and failed to request final inspection within 10 days of substantial completion of the work. Resolution Conference held 01/13/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Malcolm McCracken, number 29173 PH-I-I, RFSC and that of the firm shall be placed on a unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course, complete the series of Special Plumbing Code and Design courses, and assure that all installations that have been performed under his license, that have not been permitted or inspected, shall receive an approved final inspections within thirty (30)

#### days.

#### Noble, Harlan Comfort Wizards, Inc. Raleigh, NC License# 24600 H-2&3-I

Allegations that the licensee failed to perform an accurate load calculations, violated the minimum standard of the North Carolina State Mechanical Code, violated probation in that the licensee failed multiple time to attend the Board Laws & Rules Class and the Mechanical Design class.

Resolution Conference held 01/10/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Harlan Noble, number 24600 H-2&3-I, and the license of the firm be suspended for a period of three (3) months. Among other requirements during the suspension, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course and the series of Special Mechanical Design courses. Upon successful completion of the suspension, his license, number 24600 H-2&3-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months.

#### Overton, Christopher T. Service Experts Heating & Air Conditioning, LLC Raleigh, NC License# 24141 H-2&3-I

Allegations that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide supervision, and violated the minimum standard of the North Carolina State Mechanical Code. Resolution Conference held 01/13/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Christopher Overton, number 24141 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, within 120 days of approval of this agreement assure that all of the outstanding permits with the Town of Morrisville have received a final inspection and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Petit, Timothy Petits Heating and A/C Rosman, NC License# 19126, H-3-II

Allegations that licensee paid an unlicensed individual using a 1099 Form in violation of the Board rule 21 NCAC 50.0512. Timothy Petit signed a Proposed Resolution

#### Agreement 02/28/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Timothy Petit, number 19126 H-3-II, and that of the firm shall be placed on a unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

#### Reeves, Chad Carolina Vintage Air, LLC Sparta, NC License# 33587, H-3-I

Allegations that the licensee failed to obtain a permit prior to commencing work, failed to request final inspection within 10 days of substantial completion of the work, violated the State Mechanical Code, failed to perform a load calculation, and violated GS 87-21 and the board laws and rules section .0402 and .0505.

Chad Reeves signed Proposed Resolution Agreement 01/10/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Chad Reeves, number 33587 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, by 01/24/2022 the contractor shall return to the homes located at 105 Grassy Creek Drive, and perform a thorough Manual J load calculation, Manual D duct design and a Manual S equipment sizing calculation. If the calculations reveals that the equipment or ductwork is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the improperly sized equipment and ductwork with properly sized equipment and ductwork and obtain a final inspection by 01/24/2022. The contractor shall submit evidence to the board by 01/31/2022 of the final inspection and shall submit a copy of all of the calculations. This work shall be performed at no expense to the homeowners. The licensee shall enroll in, attend and complete the Special Board Laws & Rules course.

#### DBA Roto-Rooter of Fayetteville Fayetteville, NC

Allegations that the licensee failed to assure that the licensee of the firm was performing supervision as required by rule, failed to assure that the licensee of the firm was in the office or on the jobs contracted out of the office at least 30 hours per week as required by rule, failed to assure that the licensee of the firm was signing contracts as required by rule, and knew that the qualifying licensee of the firm resided in Utah while the licensee was the only qualifying licensee of the firm. Resolution Conference held 01/14/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of the firm shall be placed on supervised probation for a period of eighteen (18) months. Among other requirements during the probation, the current licensee(s) of the firm shall enroll in, attend and complete the Special Board Laws & Rules course

#### Smith, Kirk C. Pro Service Septic & Plumbing Bostic, NC License# 21288, P-I

Allegations that licensee violated the State Plumbing Code and licensee paid an unlicensed individual with cash and or a form 1099 to perform service work. Kirk Smith signed a Proposed Resolution Agreement 02/02/2022.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Kirk Smith, number 21288 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months. Among other requirements during the probation, shall submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and and complete the series of Special Plumbing Code and Design courses.

#### Tatum, Steven J. Hearth & Home Technologies, LLC Greensboro, NC License# 23546 FP

Allegations that the licensee is the qualifier for Hearth & Home Technologies, LLC. for the Greensboro, but allowed his license to be used to obtain permits in the Wilmington area.

Steven Tatum signed Proposed Resolution Agreement 08/25/2021.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Steven Tatum, number 23546 FPC, and that of the firm shall be placed on a supervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course.

#### Tomlinson, Ronald R. Providence Plumbing, LLC Monroe, NC License# 29610 P-I

Allegations that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide supervision, violated the minimum standard of the North Carolina State Plumbing Code, violated the minimum standard of the North Carolina State Fuel Gas Code, created risk of personal injury or property damage, failed to execute contracts, and quoted and sold plumbing items that were not installed. Resolution Conference held 02/17/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Ronald Tomlinson, number 29610 P-I, and that of the firm shall be placed on unsupervised probation for a period of twenty-four (24) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and the series of Special Fuel Gas Code and Design courses.

#### Wall, Donald W., Jr. Wall Brothers Heating & Cooling Knightdale, NC License# 24409 H-3-I

Allegations that the licensee allowed the use of license by unlicensed persons, obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor, did not supervise the work, did not perform the installation and did not receive the contractual payment for the job, aided and abetted an unlicensed person by pulling a permit for an unlicensed person and allowed equipment to be purchased by an unlicensed person under the licensee wholesale account. The licensee was compensated \$1,400.00 for the use of the license and the use of the wholesale account (not counting the cost of the equipment which was paid separately by the unlicensed person), failed to perform load calculation(s), violated the minimum standard of the North Carolina State Mechanical Code, and violated the minimum standard of the North Carolina State Fuel Gas Code. Resolution Conference held 01/11/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Donald Wall, number 24409 H-3-I, and the license of the firm be suspended for a period of three (3) months. Among other requirements during the suspension, the licensee shall return to the home located at 5 Scarlet

Oak Court, obtain a permit, correct any and all code violations that still exist and obtain a final inspection within 30 days of approval of this agreement. Within 45 days of approval of this agreement the licensee shall submit evidence of a final inspection, and the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 24409 H-3-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months.

#### Walters, James Jr. A & E Heating & Air Conditioning, Inc. Durham, NC

#### License# 17019 H-2&3-1

Allegations that the licensee failed to obtain final inspections, failed to provide supervision, failed to provide to the board, upon request, a copy of load calculations for both jobs, violated the minimum standard of the North Carolina State Mechanical Code, violated the minimum standard of the North Carolina State Fuel Gas Code, and created risk of personal injury or property damage. Resolution Conference held 01/10/2022. The Review Committee found that the allegations were supported by the evidence and recommended that the license of lames Walter, number 17019 H-2&3-I, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course and complete the series of Special Fuel Gas Code and Design courses.

#### Warrick, Keith

#### AKW Heating & Air, Inc. Durham, NC

License# 21275 H-3-I

Allegations that the licensee failed to obtain a permit prior to commencing work and failed to request final inspection within 10 days of substantial completion of the work. Keith Warrick signed Proposed Resolution Agreement 08/25/2021.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Keith Warrick, number 21275 H-3-I, and that of the firm shall be placed on a unsupervised probation for a period of Eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend, and complete the Special Board Laws & Rules course

### **Attorney's Report**

The Attorney's Report contains information pertaining to cases that have been adjudicated in a formal proceeding of the Board or in the courts of North Carolina. Disciplinary actions against licensed contractors are typically imposed during a Formal Hearing of the Board. Court action is necessary when unlicensed individuals are in violation of the Board's Laws and Rules or in circumstances where licensees are found to be in violation of any order(s) issued by the Board.

#### Alvarado-Ruiz, Humberto Swannanoa, NC

Allegations of plumbing contracting without a do so. valid license. Judgment of Permanent Injunction filed 2/4/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Valid license

#### Atkinson, Mark Waynesville, NC

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 2/28/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Berryhill, Eric H. Shelby, NC

Allegations of heating contracting without a valid license in violation of prior Judgment of Permanent Injunction. Order of Contempt filed 3/30/22. Defendant ordered to refrain from performing unlicensed work and to pay \$500.00 fine to Wake County Clerk, and costs of \$208.00 within 30 days of the filing of the Order.

#### Blue, James C. Carthage, NC

Allegations of heating contracting without a valid license. Order Granting Permanent Injunction by Summary Judgment filed 2/16/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Bolick, Levi D. Granite Falls, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 1/12/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 1/20/22.

#### Brewer, Jon T. Kings Mountain, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 4/6/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Brooks, Wesley A. Lenoir, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 2/8/22 enjoining the Defendant

from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Campbell, Robert L. Bolivia, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 3/31/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Cooper, Kettrele A. Columbia, SC

Allegations of heating contracting without a valid license in violation of prior Judgment of Permanent Injunction. Order of Contempt filed 2/16/21. Defendant ordered to refrain from performing unlicensed work and to pay \$500.00 fine to Wake County Clerk within 30 days of the filing of the Order.

#### Cole, Craig A. Conover, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 2/4/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Cordova-Puentes, Jonathan Raleigh, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 4/18/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Crocker, Robb Wilmington, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 3/30/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Crump, Marcus L. Swannonoa, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 3/30/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Cunningham, Robert Newport, NC

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 2/8/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Douds, Andrew J. Kill Devil Hill, NC

Formal Hearing set for March 8 and 9, 2022. Final Order executed 3/11/22.

#### Doyle, Christopher New Bern, NC

Allegations of plumbing contracting without a valid license. Complaint for injunctive relief filed 11/9/17. Defendant served 11/17/17. Defendant Answered 12/7/17. Forwarded Amended Complaint to Clerk and copy of Amended Complaint and proposed Consent to Defendant on 3/27/18. Answer to Amended Complaint due from extension on 6/1/18. Defendant's attorney indicates his client will want a hearing. Discovery ongoing. Trial scheduled for 4/25/22. Trial scheduled 4/18/22 due to witness issues.

#### Dunn, John E. Raleigh, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 2/24/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Freyer, Matthew S. Kannapolis, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 2/4/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 2/17/22.

#### Goodman, Robert Hubert, NC

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 3/14/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Goodwin, William K. Hamlet, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 3/9/22 enjoining the Defendant

from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Gravely, Gerald D. Jacksonville, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 2/15/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Haynie, Jerry M. Winston Salem, NC

Formal Hearing on 2/8/22 regarding reinstatement request. Reinstatement granted

#### Hudgins, Scott W. Valdese, NC

Allegations of plumbing and heating contracting without a valid license. Order Granting Permanent Injunction by Summary Judgment filed 3/14/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Hughes, Billy Pittsboro, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 2/24/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Johnson, Derek O. Bessemer City, NC

Voluntary Dismissal filed 11/30/15. New allegations of plumbing contracting without a valid license. Order Granting Permanent Injunction by Summary Judgment filed 2/16/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Jones, William C. Rutherfordton, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 2/28/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served 3/17/22.

#### Keith, John M. Ridgeland, SC

Formal Hearing held 2/8/22. License Reinstatement request granted. Order issued and served.

### Knight, Bill

Henderson, NC Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 4/5/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Lopez, Ray Raleigh, NC

Allegations of fuel piping contracting without a valid license. Judgment of Permanent Injunction filed 4/5/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### McNeece, Jon Cary, NC

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 2/9/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Newell, Christopher B. Tryon, NC

Formal Hearing held2/8/22. Order imposing 24 months supervised probation over mechanical installation concerns issued and served.

#### Ogburn, Joseph Willow Springs, NC

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 3/22/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Ordonez, Yigael Greenville, NC

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 3/2/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Shew, Michael Lenoir, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 3/25/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Simber, Adam J. Lillington, NC

Formal Hearing held on 3/9/22. Order issued arising from probation violations imposed additional unsupervised probation for 12 months. Order issued and served.

#### Smith, Adam Ramseur, NC

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 2/15/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Soto-Zacarias, Lomedo Jacksonville, NC

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 2/14/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Stamey, Tyler A. Nebo, NC

Allegations of plumbing and heating contracting without a valid license. Judgment of Permanent Injunction filed 4/1/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Stroup, Jason W. Shelby, NC

Formal Hearing scheduled October 14, 2021 in Hendersonville continued at request of Respondent. Hearing held on January 11, 2022. Order issued and served. Order imposes a suspension until mechanical job at issue is corrected. Order details installation issues, failure to correct installation issues and a probation violation.

#### Wallace, Larry Roxboro, NC

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 4/5/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

#### Ward, Willie L. Columbia, NC

Allegations of plumbing and heating contracting without a valid license in violation of prior Judgment of Permanent Injunction. Order of Contempt filed 3/30/22. Defendant ordered to refrain from performing unlicensed work and to pay \$500.00 fine to Wake County Clerk, and costs of \$208.00 within 30 days of the filing of the Order.

#### Worchester, Troy Shelby, NC

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 4/6/22 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so. Defendant served by Certified Mail on 4/9/22.