

State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors

September 2019

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**State Board of Examiners
of Plumbing, Heating, and
Fire Sprinkler Contractors**

www.nclicensing.org

**1109 Dresser Court
Raleigh, NC 27609**

Annual License Renewals

All licenses issued by the Board will expire on December 31, 2019. Licenses not renewed by that date will not be valid on January 1, 2020, and the licensee can not legally engage in any contracting activities, including bidding, quoting, contracting, obtaining permits or performing any work which requires a license. Most, if not all, local permitting offices across the state routinely check the status of the license before issuing a permit and will deny the permit if the license is not current.

Annual license renewals begin on October 1, 2019. As in the past, paper renewal notices have been sent to all currently active licensees, as well as licensees whose license expired in 2016, 2017 and 2018.

The notices contain your username and password, and licensees are encouraged to renew online using the link that is available on the homepage of the Board's website at www.nclicensing.org. If you wish to renew online and did not receive a form with your username and password, please contact the Board's office for assistance.

Renewal notices are mailed to the address of record. Licensees are required to submit a Change of Address form within 30 days of any change. If you do not receive a renewal form, it may be due to an incorrect or outdated address. You may check your address by entering the license number in the License Search feature of our website, and then clicking on the Detail link.

Licensees should also verify that the information shown on the renewal notice is correct. The license must show the name that is being used to conduct business. For instance, if John Doe is doing business as XYZ Plumbing & Heating, the license must be listed in the firm name.

If you do not receive a renewal notice by October 15th and wish to renew by mailing a paper form to the office, please download the appropriate renewal form from our website at www.nclicensing.org.

Well Certification Exemption Reminder

Prior to 2009, licensed plumbing contractors who engaged in any activities involving a well needed a Class D Certification from the North Carolina Well Commission. In 2009, the General Assembly passed a bill which allowed licensed plumbing contractors to perform certain activities involving wells without the certification; however, in order to exercise this exemption, the plumbing contractor must have completed a Well Seal, Installation, Protection and Sanitation Class within the two years prior to the work being done.

The Education tab of the Board's website contains links to education providers who periodically offer the course.

PHCC-NC will be offering a class in Raleigh, from 6:00-8:00 pm on Tuesday, November 5, 2019. The cost is \$50.00 for PHCC-NC members and \$75.00 for non-members. If interested, you may register online at www.phccnc.com.

If unable to attend this course, please feel free to visit the Education page of the Board's website for contact information of other providers who may be offering the course.

North Carolina State Agencies

NC Department of Insurance	919-647-0000 800-634-7854	NC Department of Labor	919-807-2796
• William "Bill" Moeller (Plumbing & Gas Interpretations)	919-647-0007	NC Department of Revenue	919-252-3052
• Dan Dittman (Mechanical & Energy Interpretations)	919-647-0012	NC Electrical Licensing Board	919-733-9042
• Dan Austin (Fire Code Interpretations)	919-647-0011	NC General Contractor Licensing Board	919-571-4183
• Lori Miller (Building Code Bookstore)	919-647-0029	NC Irrigation Contractors Licensing Board	919-872-2229
		NC Refrigeration Licensing Board	919-779-4711
		NC Secretary of State	919-807-2225
		NC Well Commission	919-707-5882

State Board of Examiners of Plumbing, Heating & Fire Sprinkler

Office Staff Directory

Office Phone: 919-875-3612
Office Fax: 919-875-3616
E-mail: information@nclicensing.org

Dale Dawson

Executive Director
Extension 224 or ddawson@nclicensing.org

Eric Hall

Business & Technology Manager
Extension 216 or ehall@nclicensing.org

Dejaya (DJ) Soltys

Executive Assistant / Licensing Coordinator
Extension 203 or dsoltys@nclicensing.org

Sharon Pittman

Legal Coordinator
Extension 205 or spittman@nclicensing.org

Emily Rabon

Exam Coordinator
Extension 230 or erabon@nclicensing.org

Kerry Waldroup

Complaint Coordinator
Extension 211 or complaint@nclicensing.org

Marielle Warren

Receptionist/Office Assistant
Extension 213 or mwarren@nclicensing.org

Field Staff Directory

Office Fax: 919-875-3616
(Faxes are forwarded to field staff)

David Boulay

Administrative Officer
Region 1
828-234-2719 or dboulay@nclicensing.org

Tom Johnston

Senior Administrative Officer
Region 2
336-906-9141 or tjohnston@nclicensing.org

Jonathan Yerkes

Administrative Officer
Region 3
919-975-5305 or jyerkes@nclicensing.org

Scott Bilsky

Administrative Officer
Region 4
919-602-9095 or sbilsky@nclicensing.org

Kevin Thibodeaux

Administrative Officer
Region 5
910-409-7127 or kthibodeaux@nclicensing.org

To view a regional map and listing of counties, please visit our website at www.nclicensing.org and click on the "Administrative Officers" button, or simply [click here](#).

Website Information: www.nclicensing.org (Download Forms)

Online license renewal is available for licensees who wish to use it and pay the renewal fee with a MasterCard or Visa. The online renewal link can be found on the home page of our website. If you do not have your username and password, or have any other questions regarding online renewal, please contact DJ Soltys at 919-875-3612, ext. 203.

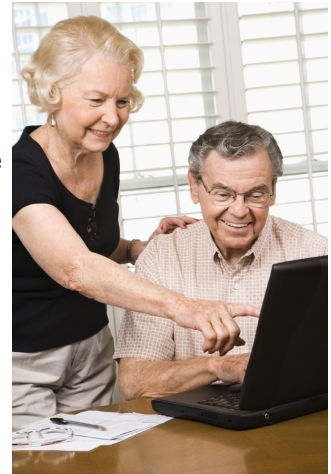
You may obtain any of the following forms 24 hours a day, 7 days a week by visiting our website and clicking on the 'Forms' button on the left side of the screen.

Compliant Form

- Complaint against licensed or unlicensed contractors

Change of Address or Status

1. Address Change: Licensee
2. Address Change: Sub-licensee
3. Trade Name Change (Licensee or firm name in which business is conducted)
4. Add Sub-licensee
5. Delete Sub-licensee



Renewal Forms

1. Renewal Form—2020 without Sublicensees
2. Renewal Form—2020 with Sublicensees
3. Renewal Form—2020 and Previous Years with or without Sublicensees
4. Renewal Form - 2019 without Sub-licenses
5. Renewal Form - 2019 with Sublicensees
6. Renewal Form - 2019 and Previous Year(s) without Sub-Licenses
7. Reinstatement Form - 2019 Sub-licensees returning to Contractor status

New Applicant Forms

1. Plumbing, Heating, and Fuel Piping Contractor Examination Application
2. Restricted Limited Plumbing Contractor Examination Application
3. Fire Sprinkler Installation & Inspection Contractor Examination Application
4. Residential Fire Sprinkler Installation Contractor
5. Plumbing, Heating & Fuel Piping Technician
6. State & Local Government Technician Examination Application
7. State & Local Government Technician Application (without examination)
8. Fire Sprinkler Inspection Technician Examination Application
9. Fire Sprinkler Inspection Technician Application (without examination)
10. Fire Sprinkler Maintenance Technician
11. Book Reference List for All Applicants
12. Request for Exam Review (for examinees who have failed a recent exam)
13. Re-examination Application (for examinees who wish to retake an exam)
14. License Activation (for examinees who have passed required exam)
15. Customer Affidavit

Military & Military Spouse Applicant Forms

1. Active Duty or Veteran: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor
2. Military Spouse: Plumbing, Heating, Fuel Piping and Fire Sprinkler Installation Contractor

Online Ordering

1. Books - Board's Laws & Rules and Business & Project Management for Contractors
2. Request for Copy (Reprint) of License
3. Request for Register of Licensees
4. Request for Verification of License
5. Request for Listing of Current Applicants

Disciplinary Actions

The Board is required by law to investigate every complaint received. If the findings of the investigation indicate that a violation of the laws and rules enforced by the Board have occurred by a licensed or unlicensed individual and/or firm, a number of disciplinary actions are available to the Board. Recent actions are reflected in the four reports that follow:

- Letter of Caution Report
- Consent Agreement Report
- Field Resolution and Resolution Review Committee Report
- Attorney's Report

Letter of Caution Report

Upon completion of investigations, a Letter of Caution can be issued to a licensee where it is determined through an investigation that a violation has occurred by one of our licensees. The Letter of Caution is issued to address and affirm issues they should improve upon or be more mindful of for future jobs:

<u>Name</u>	<u>City/Town</u>	<u>Date</u>	<u>Name</u>	<u>City/Town</u>	<u>Date</u>
Corn, Kenneth T.	Hendersonville	06/28/2019	Pennington, Terrence D.	Raleigh	05/14/2019
Gammons Jr, Charles E.	Winston-Salem	06/14/2019	Twine, Milford R.	Elizabeth City	06/22/2019
Hunt, Horace D.	Thomasville	04/30/2019	Waldrop, Brad B.	Sylva	02/12/2019
Jones, James C.	Gastonia	05/15/2019	Williams, Jason C.	Lexington	05/29/2019
Owens, Terry W.	King	03/04/2019			

Consent Agreement Report

A Consent Agreement is an affidavit taken from unlicensed individuals performing plumbing, heating and fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals performing contracting activities in the field, please report them to this office.

<u>Name</u>	<u>City/Town</u>	<u>Date</u>	<u>Name</u>	<u>City/Town</u>	<u>Date</u>
Beaver, Thomas D.	Charlotte	07/2/2019	Jarrett, Timothy	Dunn	08/29/2019
Bender, Robert P.	Pollocksville	08/27/2019	Moss, Joseph	Reidsville	08/01/2019
Clayton, Kevin A.	Hubert	08/16/2019	Thammavongsa, Kalvin	Charlotte	07/24/2019
Deloath, Harold T.	Conway	07/24/2019	Whitaker, Cody J.	Kannapolis	08/80/2019
Holguin, Anthony R.	Midland	08/27/2019	Wright, Clayton M.	Wilmington	08/08/2019

Resolution Agreements

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of sanctions against their license instead of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case. Specific details of any of the following cases can be obtained by e-mail (information@nclicensing.org) or upon written request to the Board's office.

Allen, Charles Lewis
Allen's Htg. and Air Conditioning
Swannanoa, NC
License# 23255 H-3-I

Allegations of failure to perform a room-by-room load calculation on a new home construction, failure to perform a Manual D duct design for a duct system installation, violation of the minimum standard of the North Carolina State Mechanical Code, failure to carry out adequate ductwork installation and system design.

Resolution Conference held 07/23/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Charles Lewis Allen, number 23255 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

Bivens, Douglas
Caryl Mechanicals II, Inc.
Monroe, NC
License# 16647 H-3-I

Allegations of failure to obtain permit(s) prior to commencing work, failure to request inspections within 10 days of substantial completion of work, failure to obtain final inspections, failure to provide supervision, violation of the minimum standard of the North Carolina State Mechanical Code, and performed a poor dehumidifier installation.

Resolution Conference held 07/23/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Douglas Bivens, number 16647 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twenty-four (24) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee, within six (6) months of approval of this agreement, the firm shall employ at least two additional H-3 licensees, whose licenses are listed in the name of the firm, to provide personal on-site supervision required for each job so that each and every job is being properly supervised. If allowed by the homeowner, on behalf of the firm, within thirty (30) days of the approval of this agreement, the contractor shall hire, at the firms expense, a North Carolina Licensed Professional Engineer who specializes in residential HVAC designs to perform a detailed Manual J8, Manual D and a Manual S calculation for the home located at 13919 Wyncrest Dr. The licensee shall enroll in, attend and complete the Special Board Laws & Rules course.

Blackburn, Nathan J.
Lawing Heating & Cooling
Lincolnton, NC
License# 28369 H-3-I

Allegations of failure to obtain permit(s) prior to commencing work, failure to request inspections within 10 days of substantial completion of work, failure to provide supervision, failure to perform load calculation(s), and violation of the minimum standard of the North Carolina State Mechanical Code.

Resolution Conference held 07/15/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Nathan Blackburn, number 28369 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

Campobasso, Joseph
Beacon's Keeper
Pine Knoll Shores, NC
License# 16239 H-3-I

Allegations of paying an unlicensed individual via a 1099 and the individual was not on the company payroll.

Joseph Campobasso signed a Proposed Resolution Agreement on 06/25/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Joseph Campobasso, number 16239 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in and complete the Special Board Laws & Rules course.

Carroll, Danny R., Jr.
Lancaire Mechanical Service
Albemarle, NC
License# 22768 H-3-I

Allegations of contracting and invoicing work in the name of Lancaire Heating & Cooling, a firm whose license was revoked previously by the board, failure to obtain permit(s) prior to commencing work, failure to request inspections within 10 days of substantial completion of work, failure to provide supervision, failure to perform load calculation(s), violation of the minimum standard of the North Carolina State Mechanical Code, allowed the use of license by unlicensed persons working for the firm who were not bona-fide employees, and licensee was not executing all contracts.

Resolution Conference held 07/15/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Danny Carroll, number 22768 H-3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to complete the series of Special Mechanical Code courses and the Special Board Laws & Rules course.

Daley, James
Blossman Gas of North Carolina, Inc.
Lexington, NC
License# 23410 FP

Allegations of failure to obtain a permit prior to commencing work, and failure to request a final inspection within 10 days of substantial completion of the work.

James Daley signed a Proposed Resolution Agreement on 06/19/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of James Daley, number 23410 FP, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to instruct the homeowner to hire a licensed plumber to permit the above water heater installation and reimburse homeowner for permitting, inspection fees as well as other expenses associated with code compliance. I state we will have this accomplished and provide proof to the Board within 30 days from today and complete the Special Board Laws & Rules course.

Delemo, Alexander Jr.
A+ Quality Plumbing
Cornelius, NC
License# 19656 P-I & RFSC

Allegations of failure to hold and execute detailed written contracts and change orders that have led to confusion on the job.

Resolution Conference held 07/17/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Alexander Delemo, number 19656 P-I & RFSC, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the series of Special Contractor Business Management courses.

Evans, Shamori D.**GE Construction
Greensboro, NC
License# 31640 H-3-I**

Allegations of failure to obtain permit(s) prior to commencing work, failure to provide supervision, failure to carry out adequate ductwork installation and system design, violation of the minimum standard of the North Carolina State Mechanical Code, installation performed in a poor workman like manner / poor workmanship, and violation of prior probation order.

Resolution Conference held 07/16/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Shamori Evans, number 31640 H-3-I, and the license of the firm be suspended for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 31640 H-3-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. The licensee shall enroll in, attend and complete the series of Special Contractor Business Management courses.

Foreman, James Elliott**Comfort Technologies
Marion, NC
License# 29368 H-3-I**

Allegations of failure to request inspections within 10 days of substantial completion of work, failure to obtain final inspections, failure to provide adequate supervision, violation of the minimum standard of the North Carolina State Mechanical Code, violation of the minimum standard of the North Carolina State Fuel Gas Code, created risk of personal injury or property damage, subcontracted work from an unlicensed general contractor, failure to perform proper load calculation(s), and submitted a copy of the load calculation for this job that showed the load requirement for this 1200 sq. ft. home called for 24,146 BTU sensible gain and 22,931 latent gain for a total load of 47,077 total BTU's, yet the licensee installed a 2.5 ton unit (same as was in the structure when he arrived). The licensee acknowledged that he knew the software was not operating properly.

Resolution Conference held 07/25/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of James Foreman, number 29368 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. The terms of the Proposed Resolution

Agreement also included a requirement for the licensee to complete the series of Special Fuel Gas Code and Design courses, the series of Special Mechanical Design courses, and the Special Board Laws & Rules course

**James, Jimmy E.
JW Smith's Plumbing, INC
Greensboro, NC
License# 11403 P-I**

Allegations of failure to hold and execute detailed written contracts and change orders that have led to confusion on the job.

Resolution Conference held 07/17/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Jimmy James, number 11463 P-I, and the license of the firm be suspended for a period of twenty-four (24) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 11463 P-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months.

**Lathrop, Mark
Lathrop's Plumbing, Inc.
Franklin, NC
License# 23753 P-I**

Allegations of failure to provide adequate supervision, and violation of the minimum standard of the North Carolina State Plumbing Code.

Resolution Conference held 07/23/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Mark Lathrop, number 23753 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the series of Special Plumbing Code and Design courses.

**Lee, Tilghman J.
Lee Heating & A/C, Inc.
Clayton, NC
License# 9568 P-II, H-3-I**

Allegations of failure to obtain a permit prior to commencing work, failure to request a final inspection within 10 days of substantial completion of the work, and failure to perform a load calculation. Tilghman Lee signed a Proposed Resolution Agreement on 07/15/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Tilghman

Lee, number 9568 P-II, H-3-I and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in and complete the Special Board Laws & Rules course.

**Lineberry, Tommy Jr.
Winston-Salem, NC
License# 33425 FP**

Allegations of signing a sworn statement (2017-03 Form PHFP I-B) and falsely documenting experience of another Fuel Piping licensee to be approved to take the Plumbing Examination, failure to obtain permit(s) prior to commencing work (Farm House Ln.), failure to request inspections within 10 days of substantial completion of work, and failure to obtain final inspections.

Tommy Lineberry signed a Proposed Resolution Agreement on 07/17/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Tommy Lineberry, number 33425 FP, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to only confirm true experience for someone wishing to take the examination for work performed under your license. In addition, assure that he does not sign blank experience documents and assure that he is personally the one to fill out details of experience he is confirming. The licensee shall also enroll in, attend and complete the Special Board Laws & Rules course.

**Mastropietro, Raymond
MastroPlumbing, LLC
Greenville, SC
License# 31095 P-I**

Allegations of failure to provide supervision, violation of the minimum standard of the North Carolina State Plumbing Code, allowed the use of license by unlicensed persons for people working under his license, but not for his firm, engaged in business under a different name or style of business than appears on his license, failed to respond to consumer in a timely manner/poor business practice, obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract (licensed as MastroPlumbing and contracted the job as R & D Plumbing) with the homeowner or general contractor and did not receive the contractual payment for the job, failure to perform work in compliance with the written contract with the owner,

improperly took a two-party check where none of the funds went to the second party, and failure to respond to the board's investigator.

Resolution Conference held 07/24/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Raymond Mastropietro, number 31095 P-I, and the license of the firm be suspended for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course and the series of Special Contractor Business Management courses. Upon successful completion of the

suspension, his license, number 31095 P-I, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months.

Matthews, Lonnie Ray
Foxfire Village, NC
License# 13756, H-3-I & P-II

Allegations of failure to obtain permit(s) prior to commencing work, failure to request inspections within 10 days of substantial completion of work, failure to obtain final inspections, failure to perform proper load calculation and duct design, violation of the minimum standard of the North Carolina State Mechanical Code, subcontracted work from a retail merchant without a proper 3-way contract, failure to fulfill contract, and installation of a different model than listed on contract.

-Resolution Conference held 04/24/2018.

-Complainant submitted additional evidence for the Review Committee's consideration on 05/01/2018.

Review recommended that the terms of the 04/24/2018 Resolution Conference Agreement be accepted without modifications. Licensee is given credited for the terms of the probation agreement that have already been completed. The Review Committee found that the allegations were supported by the evidence and recommended that the license of Lonnie Matthews, number 13756 H-3-I & P-II, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to complete the Special Board Laws & Rules course and the series of Special Mechanical Code courses.

McCafferty, Matthew
Lake Norman Plumber on Call, Inc.
Sherrills Ford, NC
License# 31754 PT

Allegations of failure to obtain permit(s) prior to commencing work, failure to request in-

spections within 10 days of substantial completion of work, failure to obtain final inspections, violation of the minimum standard of the North Carolina State Plumbing Code, failure to provide adequate and proper supervision, and the firm contracted to replace the following items but these items were not replaced: (1) new pan for water heater (refunded \$100.00 after complaint was initiated); and, (2) New pressure and temperature drain pipe (charged \$100.00 but never refunded).

Matthew McCafferty signed a Proposed Resolution Agreement on 07/19/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Matthew McCafferty, number 31754 PT, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee, within 30 days of approval of this agreement, refund to the homeowner \$100.00 for the new P & T valve drain pipe that was never installed, and within 30 days of approval of this agreement, the licensee shall submit to the board documentation of the passing final inspection.

McClure, Charles (*)
CAM Contracting Group, Inc.
Etowah, NC
License# 32546 H-2&3-I

Allegations of submitting an improper load calculation from a non-approved ACCA load calculation program (EMC), load calculation information submitted has inaccurate information, submitted a quote to perform Heating Group I work, but the licensee does not hold a Heating Group I license, performed plumbing work connecting to a humidifier without holding a plumbing license, presented to the Board / RRC an improper duct design, the duct design was not an approved Manual D duct design, violation of the minimum standard of the North Carolina State Mechanical Code, and installation of a condensing unit and coil for the first floor of the home that is not AHRI listed.

Resolution Conference held 07/24/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Charles McClure, number 32546 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course and complete the series of Special Mechanical Design courses.

Pepin, Keith L.
Brother Mendoza Fire Protection
Charlotte, NC
License# 30265 FS

Allegations of failure to provide supervision, failure to execute contracts, and licensed Brother Mendoza Fire Protection for over 5 years while actively employed full-time with a different firm.

Resolution Conference held 07/22/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Keith Pepin, number 30265 FS, and the license of the firm be suspended for a period of eighteen (18) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 30265 FS, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months.

Raifsnider, Kenneth
Southeast Climate Care LLC
Hendersonville, NC
License# 29107 H-3-I

Allegations of failure to comply with the State Mechanical Code, and installation was flagged due to health safety concerns by the inspection department.

Kenneth Raifsnider signed a Proposed Resolution Agreement on 02/11/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Kenneth Raifsnider, number 29107 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in and complete the series of Special Mechanical Code courses and complete the Special Board Laws & Rules course.

Royal, Carl H., II
Royal Heating & Cooling
Wilmington, NC
License# 06875 H-3-I

Allegations of paying an unlicensed individual by use of a 1099 in violation of the Board rules.

Carl Royal signed a Proposed Resolution Agreement on 07/02/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Carl Royal, number 6875 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months.

**Royal, Ransom D.
A & R Plumbing & Repair
Winston-Salem, NC
License# 18247 P-I**

Allegations of subcontracting the plumbing job and receiving payment from an unlicensed general contractor, undersized a portion of the horizontal washer machine drain line, and water line test was down (low pressure test). Ransom Royal signed a Proposed Resolution Agreement on 07/19/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Ransom Royal, number 18247 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course.

**Shephard, Arvil "Dean"
Shephard's Heating & A/C, Inc.
Millers Creek, NC
License# 10784 H-2-3-I**

Allegations of failure to provide adequate supervision, failure to obtain a permit prior to commencing work, failed to request final inspection within 10 days of substantial completion of the work.

Arvil Shephard signed a Proposed Resolution Agreement on 07/31/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Arvil Shephard, number 10784, H-2-3-I, and the license of the firm be suspended for a period of six months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee, by 08/14/2019, to remove his license as the licensee/qualifier for Shephard's Heating & A/C; obtain the required permit, return to the homes located at 329 Raven Maple Drive and 236 Perry Drive, and perform a thorough Manual J load calculation, Manual D duct design and a Manual S equipment sizing calculation and complete the Special Board Laws & Rules course. Upon successful completion of the suspension, his license, number 10784 H-2-3-I and that of the firm shall be placed on supervised probation for a period of twenty-four months.

**Shephard, Robert M.
Shepherd's Heating & Air
Wilmington, NC
License# 31168 H-3-I**

Allegations of misconduct in the business of heating contracting in that the licensee presented an Affidavit in support of the examina-

tion application of Clayton Wright in which he represented that Wright was an employee of the firm but failed to withhold taxes and paid Clayton Wright a Form 1099.

Robert Shephard signed a Proposed Resolution Agreement on 08/09/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Robert Shephard, number 31168 H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in and complete the Special Board Laws & Rules course and submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

**Taylor, Douglas E.
Doug Taylor Plumbing
Flat Rock, NC
License# 13253 P-I**

Allegations of paying an unlicensed individual by use of a 1099 in violation of the Board rules and contracting with an unlicensed general contractor.

Douglas Taylor signed a Proposed Resolution Agreement on 06/25/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Douglas Taylor, number 13253 P-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to provide to the Board with a receipt by 07/07/2019 documenting that the licensee have made restitution in the amount of \$750.00 to James Weddell doing business as New Life Renovations, LLC and submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

**Teague, Alan C.
A & E Mechanical Service, LLC
Candler, NC
License# 29850 H-3-I, H-2**

Allegations of failure to comply with the State Mechanical Code.

Alan Teague signed a Proposed Resolution Agreement on 07/19/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Alan Teague, number 29850, H-3-I & H-2 and that of the firm shall be placed on unsupervised probation for a period of twelve months. The terms of the Proposed Resolution Agreement

also included a requirement for the licensee by 08/02/2019 to return to the homes located at 8 James Loop R, perform a thorough Manual J load calculation, Manual D duct design and a Manual S equipment sizing calculation, obtain a permit from the local code enforcement official, return to this structure and correct any and all code violations that still exist, and enroll in, attend and complete the Special Board Laws & Rules course.

**Teague, Lee K.
Snap Finger Plumbing
Granite Falls, NC
License# 17595 P-I**

Allegations of violation of the minimum standard of the North Carolina State Plumbing Code and allowed the use of license by unlicensed persons by paying an unlicensed person in cash and not as a bona-fide employee.

Resolution Conference held 07/18/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Lee K. Teague, number 17595 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in, attend and complete the Special Board Laws & Rules course and the series of Special Plumbing Code and Design courses.

**Thomas, Darrell L.
Thomas Builders, Inc.
Niota, TN
License# 24280 P-I**

Allegations of paying an unlicensed individual by use of a 1099 in violation of the Board rules and contracting with an unlicensed individual.

Darrell Thomas signed a Proposed Resolution Agreement on 02/05/2019.

The Review Committee found that the allegations were supported by the evidence and recommended that the license of Darrell Thomas, number 24280 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. The terms of the Proposed Resolution Agreement also included a requirement for the licensee to enroll in and complete the Special Board Laws & Rules course and submit to the Board's office within the next thirty (30) days copies of all employee W-4's and by the end of the probation submit a copy of all employee W-2's.

Attorney's Report

The Attorney's Report contains information pertaining to cases that have been adjudicated in a formal proceeding of the Board or in the courts of North Carolina. Disciplinary actions against licensed contractors are typically imposed during a Formal Hearing of the Board. Court action is necessary when unlicensed individuals are in violation of the Board's Laws and Rules or in circumstances where licensees are found to be in violation of any order(s) issued by the Board.

**Alexander, Gregory Tyler
aka George Alexander
Hickory, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction by Summary Judgment filed 7/9/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so

**Anderson, Frankie
Lawrenceville, GA**

Allegations of heating contracting without a license. Judgment of Permanent Injunction by Summary Judgment filed 7/9/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Baik, Daniel
Fairfax, VA**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Benitz, Juan A.
Raleigh, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Berrones, Fernando
Greensboro, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/19/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Branner, Matt
Clayton, NC**

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 7/10/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Brown, Terrell
Greenville, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant

from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Burris, Samuel W.
Shelby, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Campbell, James E.
Greensboro, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Cline, Zackery C.
Rockwell, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Cox, Jeremy
Fayetteville, NC**

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 7/30/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Creasmen, Kent
Asheville, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/30/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Davis, Jamie
Rose Hill, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/19/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Dupler, Douglas M.
Mooreville, NC**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Gaines, Rene E.
Sanford, NC**

Allegations of fuel piping contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Gilbert, Roger D.
Lenoir, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/24/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Hardison, Joshua
Arapahoe, NC**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Heath, James
Weaverville, NC**

Allegations of numerous code violations that resulted in a fire in the HVAC unit with no gas shut off valve at the unit. Formal Hearing on May 14, 2019. Order issued on May 23, 2019 resulting in license revocation.

**Johnson, Richard
Fayetteville, NC**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Johnson, William G., Jr.
(Carteret County)**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Kivett, Kyle
Liberty, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Morrison, Liliias
Harbinger, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Nicopolis, Robert
Apex, NC**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Page, Christopher
Cary, NC**

Allegations of contracting without a valid license. Judgment of Permanent Injunction by Summary Judgment filed 7/1/19 enjoining the Defendant Con Am from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Pattee, Holly
Cary, NC**

Allegations of plumbing contracting without a valid license. Judgment of Permanent Injunction by Summary Judgment filed 7/1/19 enjoining the Defendant Con Am from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Regling, Rich
Laurinburg, NC**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/31/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Semones, Kelly J.
Asheville, NC**

Allegations of heating (fuel piping) contracting without a license. Judgment of Permanent Injunction filed 7/24/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Spaziano, Christopher
Burgaw, NC**

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Stembridge, Josha A.
New Bern, NC**

Allegations of heating contracting without a license. Judgment of Permanent Injunction by Summary Judgment filed 7/1/19 enjoining the

Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Surber, Charles E., Jr.
Winston-Salem, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/30/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Welch, James T.
Waynesville, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Woods, Isaac
Durham, NC**

Allegations of plumbing contracting without a valid license. Consent Judgment of Permanent Injunction filed 8/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.

**Wyatt, Kenneth
Sequatchie, TN**

Allegations of plumbing contracting without a license. Judgment of Permanent Injunction filed 7/1/19 enjoining the Defendant from engaging in business as a plumbing or heating contractor until properly licensed to do so.