



# State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors

January 2016

## BOARD MEMBERS

**William H. Eubanks**  
Chairman  
Plumbing Inspector  
Wilmington, NC

**Thomas G. Proffit**  
Vice-Chairman  
Plumbing Contractor  
Lenoir, NC

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Secretary/Treasurer  
NCSU Engineer  
Raleigh, NC

**Jeffrey R. Dunn**  
Fire Sprinkler Contractor  
Dunn, NC

**William H. Sullivan III**  
Air Conditioning Contractor  
Greensboro, NC

**Robert J. Owens**  
Public Member  
Raleigh, NC

**D. Hunter Edwards Jr.**  
Heating Contractor  
Charlotte, NC

State Board of Examiners  
of Plumbing, Heating, and  
Fire Sprinkler Contractors

1109 Dresser Court  
Raleigh, NC 27609

Phone: 919-875-3612

## Has Your License Been Renewed for 2016?

**All licenses issued by the Board expire on December 31st of each year.**  
**There is no grace period.**

It is illegal to continue to perform work which requires a license after a license has expired, including work which has previously been permitted and/or is in progress. If the Board receives information that a licensee or firm is bidding, quoting, contracting, permitting or performing work with an expired license, the responsible individual(s) will be subject to disciplinary action by the Board.

The local inspection department also has the right to deny permits until the license is renewed, and will typically contact the Board if a contractor with an expired license requests any inspection(s).

Individuals with licenses that expired on December 31, 2015 may renew their license by January 31, 2016 without a late renewal processing fee. The fee will apply beginning February 1, 2016.

Again, the month of January is not a grace period for licensing, but is simply an opportunity to renew the license within one month of the expiration date without paying the late renewal processing fee.

Licenses that expired on December 31, 2015 may be renewed online if there are no changes to the status or to any sub-licensees. If there are changes to the status of the licensee or any sub-licensees, these renewals, as well as renewals for licenses that expired in 2013 or 2014, must be submitted with the proper renewal form and payment to our office by mail or in person.

If using paper renewal, please allow 2-3 days for the renewal to be processed, during which time you are not allowed to obtain permits or perform activities which require a license. A license is not renewed until the information appears on the licensee's record as reflected by the Board website. Licenses cannot be renewed over the phone or while you wait in the lobby.

Online renewal and paper renewal forms are available on our website at [www.nclicensing.org](http://www.nclicensing.org)

The Board's laws and rules allow for a license to be renewed at any time during a three year period following expiration, and upon payment of all fees previously due.

If the license expired on December 31, 2012 or earlier, it cannot be renewed as the three year renewal eligibility period ended on December 31, 2015. Any individual with a license that has been expired for more than three years must take the appropriate examination(s) in order to reinstate the license.

## Is Your Information Up To Date?

Each year, the Board's Licensing Coordinator send annual renewal notices to every licensee that we have an e-mail address on file for, and we send paper renewal forms to the last known mailing address. Each year, we get hundreds of 'undeliverable' e-mail returns and dozens of 'undeliverable' paper forms. Many of the licensees who fail to renew the license before the expiration date state that they did not receive any renewal notices.

Each licensee is responsible for keeping the Board informed of his/her current address and e-mail address. If you need to update your information, please download the Address Change Form from the Forms page of our website. Regardless of whether the licensee receives a renewal notification, he/she is responsible for renewing the license on time.

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## Start Off The New Year On The Right Foot

During 2015, the Board received 600 complaints against licensed and unlicensed contractors. Our team of Administrative Officers thoroughly investigate each valid complaint and appropriate action is taken against the contractor if warranted.

As a result of investigating well over 10,000 complaints over the years, some patterns have become apparent during the complaint investigation process. These issues are being published here in hopes that you will take a look at yourself and your business practices, and identify any areas in which you may need to evaluate and/or make changes to.

### Licensing

Make sure that you have the appropriate license qualifications before bidding, quoting, contracting, permitting or performing a job. Engaging in activities outside of your qualification is the same as being unlicensed. If you have any questions concerning the qualifications required for a certain job, please contact the Administrative Officer in your region for clarification.

Make sure that your license is listed in the way that you are conducting business. Your advertising and all paperwork (bids, quotes, estimates, proposals, contracts, permits, invoices, etc.) should bear the same name, and your license must be listed in that name with the Board.

Never allow anyone to use your license. Bona-fide employees of your firm may perform work requiring a license while on your payroll and under your supervision. Contracted (1099) employees, sub-contractors, or others are not allowed to use your license number. If your license is listed in the name of a city, county, university, hospital, or business, you may not contract or perform any 'side work' outside of your employment with the entity with whom your license is listed.

### Codes, Laws and Rules

The State Building Codes (Plumbing, Mechanical, Fuel Gas, Energy, Fire, Administration, etc.) and all referenced materials (design manuals, NFPA Standards, etc.) must be followed. In cases where the manufacturer's installation instructions are more stringent than the code, those instructions are to be followed, and a copy left with the equipment for reference by inspectors and future service and repair technicians.

The Laws and Rules of the Board must also be followed. The Board has specific laws and rules pertaining to plumbing, heating, air conditioning, fuel gas piping and fire sprinklers. Violations of these laws and rules will subject the licensee to investigation and discipline by the Board. In an effort to educate contractors, a special four-hour course on the Board's Laws and Rules is offered quarterly in Raleigh and Statesville. While originally intended as a disciplinary class for licensees found to have issues in this area, there usually is available seating for licensees who wish to attend. Please see the information on Page 3 if you are interested in attending a future class.

### Customer Service

Many complaints are filed due to the fact that the contractor fails to respond to the customer and/or fails to communicate effectively. Issues can arise on any job, and how the contractor responds to the customer and addresses the issues often makes the difference between a happy homeowner who will give positive referrals or an angry homeowner who files a complaint with the Board and may also seek civil and legal remedies in a court of law. Responding promptly and courteously to customers is just as much a part of the job as installing piping, fixtures, equipment and ductwork.

Permits and inspections should not be taken lightly or ignored. Failure to obtain either can not only put the contractor at odds with the Board, but can also increase the potential civil and legal liability in the event that the unpermitted or uninspected installation results in property damage, personal injury or death. All work requiring a license also requires a permit before work is started, and inspections must be requested within ten days of substantial completion of the work.

## Special Board Laws and Rules Course

The Special Board Laws and Rules course is a comprehensive four-hour presentation of the North Carolina General Statutes (laws) pertaining to the Board and the North Carolina Administrative Code (rules) specific to the activities licensed by the Board.

The class is held quarterly in Raleigh and Statesville. Priority seating is reserved for licensees who are required to attend the course in order to comply with disciplinary action resulting from complaint investigations, with other licensees allowed to attend if additional seating is available. The class allows licensees and applicants to become more familiar with the Board's requirements pertaining to licensing, and much of the legal language of the General Statutes and Administrative Code is explained in plain English with real-world examples provided.

Below is the schedule for 2016. If you are interested in attending, please follow the instructions below:

<u>City</u>	<u>Date</u>	<u>City</u>	<u>Date</u>
Raleigh	February 10, 2016	Statesville	February 11, 2016
Raleigh	May 11, 2016	Statesville	May 12, 2016
Raleigh	July 13, 2016	Statesville	July 14, 2016
Raleigh	November 9, 2016	Statesville	November 10, 2016

Send an e-mail to [education@nclicensing.org](mailto:education@nclicensing.org). Include your full name, license number, the location and date that you wish to attend, and the statement "I am not required to attend this course."

All requests must be received at least four weeks prior to the course date. You will receive an e-mail message approximately two weeks prior to the course date which will either state that seating is not available for that location/date or that seating is available. If

## Board Participates in Regional Home Shows and Contractor Expos

Throughout the year, the Board members and staff participate in a number of events across the state which allow consumers and contractors to learn more about the Board's activities and discuss issues. These activities include 'home shows' open to the general public and 'trade shows' attended by contractors in the construction industry.

In addition, Board representatives also attend and/or speak at many smaller functions, including the following:

- plumbing and mechanical inspector association conferences, seminars and workshops
- fire marshal association conferences and seminars
- local, regional and statewide contractor association conferences, seminars and workshops
- local community and homeowner association meetings

The following activities are tentatively scheduled for 2016

February 26-8	Southern Spring Home & Garden Show	The Park Expo & Conference Center	Charlotte, NC
March 4-6	Southern Spring Home & Garden Show	The Park Expo & Conference Center	Charlotte, NC
April 1-3	Southern Ideal Home Show	Greensboro Coliseum Complex	Greensboro, NC
September 13-15	21st Century Building Expo & Conference	Charlotte Convention Center	Charlotte, NC
September 25-27	Southern Ideal Home Show	North Carolina State Fairgrounds	Raleigh, NC

For additional events and information, please visit the Public Relations page of our website.

## North Carolina State Agencies

<b>NC Department of Insurance</b>	<b>919-661-5880</b>	NC Department of Labor	919-807-2796
• William "Bill" Moeller (Plumbing & Gas Interpretations)	Ext. 238	NC Department of Revenue	919-252-3052
• Dan Dittman (Mechanical & Energy Interpretations)	Ext. 237	NC Electrical Licensing Board	919-733-9042
• Richard Strickland (Fire) (Fire Code Interpretations)	Ext. 255	NC General Contractor Licensing Board	919-571-4183
• Jessica Yelverton (Building Code Bookstore)	Ext. 240	NC Irrigation Contractors Licensing Board	919-872-2229
		NC Refrigeration Licensing Board	919-779-4711
		NC Secretary of State	919-807-2225
		NC Well Commission	919-707-5882

## State Board of Examiners of Plumbing, Heating & Fire Sprinkler Contractors

### Office Staff Directory

Office Phone: 919-875-3612  
Office Fax: 919-875-3616  
E-mail: [information@nclicensing.org](mailto:information@nclicensing.org)

### Dale Dawson

Executive Director  
Extension 224 or [ddawson@nclicensing.org](mailto:ddawson@nclicensing.org)

### Eric Hall

Business & Technology Manager  
Extension 216 or [ehall@nclicensing.org](mailto:ehall@nclicensing.org)

### Dejaya (DJ) Soltys

Executive Assistant / Licensing Coordinator  
Extension 203 or [dsoltys@nclicensing.org](mailto:dsoltys@nclicensing.org)

### Sharon Pittman

Legal Coordinator  
Extension 205 or [spittman@nclicensing.org](mailto:spittman@nclicensing.org)

### Karen Jolliffe

Exam Coordinator  
Extension 230 or [kjolliffe@nclicensing.org](mailto:kjolliffe@nclicensing.org)

### Kerry Waldroup

Complaint Coordinator  
Extension 211 or [complaint@nclicensing.org](mailto:complaint@nclicensing.org)

### Kimberly Dew

Office Assistant  
Extension 213 or [kdew@nclicensing.org](mailto:kdew@nclicensing.org)

### Administrative Officer (Field Staff) Directory

Fax for all Administrative Officers: 919-875-3616

Administrative Officer  
South Central Region  
704-975-5305

### Kevin Thibodeaux

Administrative Officer  
Coastal Region  
910-409-7127 or [kthibodeaux@nclicensing.org](mailto:kthibodeaux@nclicensing.org)

### Tom Johnston

Administrative Officer  
North Central Region  
336-906-9141 or [tjohnston@nclicensing.org](mailto:tjohnston@nclicensing.org)

### Administrative Officer

Eastern Region  
919-602-9095

### David Boulay

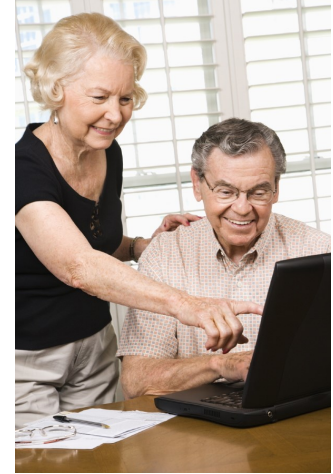
Administrative Officer  
Western Region  
828-234-2719 or [dboulay@nclicensing.org](mailto:dboulay@nclicensing.org)

To view a regional map and listing of counties, please visit our website at [www.nclicensing.org](http://www.nclicensing.org) and click on the "Administrative Officers" button.

## Website Information: [www.nclicensing.org](http://www.nclicensing.org) (Download Forms)

Online license renewal is now available for licensees who wish to do so and can pay the renewal fee with a MasterCard or Visa. The online renewal link can be found on the home page of our website. You will also find a link to a step-by-step tutorial available to guide licensees through the process. If you do not have your username and password, or have any other questions regarding online renewal, please contact DJ Soltys at 919-875-3612, ext. 203.

You may obtain any of the following forms 24 hours a day, 7 days a week by visiting our website and clicking on the 'Forms' button on the left side of the screen.



### Consumer Forms

Consumer Complaint against licensed or unlicensed contractors

### Change of Address or Status

1. Address Change: Licensee
2. Address Change: Sub-licensee
3. Trade Name Change (Licensee or firm name in which business is conducted)
4. Add Sub-licensee
5. Delete Sub-licensee

### Renewal Forms

1. Renewal form - 2016 without Sub-licensees
2. Renewal form - 2016 with Sub-licensees
3. Renewal form -2016 and Previous Year(s) without Sub-Licensees
4. Renewal form - 2016 and Previous Year(s) with Sub-Licensees
5. Reinstatement Form - 2016 Sub-licensees returning to Contractor Status

### New Applicant Forms

1. Plumbing, Heating, & Fuel Piping Contractor Examination Application
2. Restricted Limited Plumbing Contractor Application
- 3.. Plumbing, Heating, & Fuel Piping Technician Examination Application
4. Residential Fire Sprinkler Installation Contractor Examination Application
5. Fire Sprinkler Installation & Inspection Contractor Examination Application
6. Fire Sprinkler Inspection Technician Examination Application (no NICET)
7. Fire Sprinkler Inspection Technician Application (NICET)
8. Fire Sprinkler Maintenance Technician Application
9. Book Reference List for All Applicants
10. Request for Exam Review (for applicants who have failed a recent exam)

### Order Forms

1. Books - Board's Laws & Rules and Business & Project Management for Contractors
2. Request for Copy (Reprint) of License
3. Request for Register of Licensees
4. Request for Verification of License

You can also access our website at one of the following easy to remember addresses:

- [www.ncplumbing.org](http://www.ncplumbing.org)
- [www.ncheating.org](http://www.ncheating.org)
- [www.ncfiresprinkler.org](http://www.ncfiresprinkler.org)

## Disciplinary Actions

The Board is required by law to investigate every complaint received. If the findings of the investigation indicate that a violation of the laws and rules enforced by the Board have occurred by a licensed or unlicensed individual and/or firm, a number of disciplinary actions are available to the Board.

Recent actions are reflected in the four reports that follow:

- Letter of Caution Report
- Consent Agreement Report
- Field Resolution and Resolution Review Committee Report
- Attorney's Report

### Letter of Caution Report

Upon completion of investigations, a Letter of Caution can be issued to a licensee where it is determined through an investigation that a violation has occurred by one of our licensees. The Letter of Caution is issued to address and affirm issues they should improve upon or be more mindful of for future jobs:

<u>Name</u>	<u>City/Town</u>	<u>Date</u>	<u>Name</u>	<u>City/Town</u>	<u>Date</u>
Bowen, Kenneth R.	Greenville, NC	9/22/2015	Lepore, Domenic	Matthews, NC	10/14/2015
Clinton, Joshua C.	Asheville, NC	5/14/2015	Page, Christopher S.	Wilson, NC	8/14/2015
Hedrick, Thad H.	Lexington, NC	10/15/2015	Pee, Ronald L.	Clyde, NC	10/27/2015
Jenkins, Dewey V.	Charlotte, NC	10/6/2015	Smith, Kirk C.	Bostic, NC	10/8/2015
Jones, Barry K.	Forest City, NC	10/20/2015			

### Consent Agreement Report

A Consent Agreement is an affidavit taken from unlicensed individuals performing plumbing, heating and fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals performing contracting activities in the field, please report them to this office.

<u>Name</u>	<u>City/Town</u>	<u>Date</u>	<u>Name</u>	<u>City/Town</u>	<u>Date</u>
Askew, Martin	Kinston	9/21/2015	McDonald, Roy H.	Wilmington	10/13/2015
Basam, Mahendra	Monroe	6/26/2015	O'Sullivan, Devin	Durham	10/5/2015
Berryhill, Eric H.	Bessemer City	9/29/2015	Potts, Robin A.	Wilmington	10/13/2015
Dickerson, Wayne	Eden	10/8/2015	Salutski, Stoycho T.	Matthews	7/7/2015
Durbin, Kenneth F.	Shelby	9/28/2015	Schleuger III, Louis	Charlotte	10/8/2015
Graham, Jesse A.	Shallotte	10/14/2015	Tapia, Michelle L.	Thomasville	10/7/2015
Guido, Glen	Angier	11/18/2015	Wyatt, Carlton	Fayetteville	10/20/2015
Hunter, Roosevelt	Rocky Mount	10/7/2015			

## Resolution Review Committee Report

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of sanctions against their license instead of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case. Specific details of any of the following cases can be obtained by e-mail ([information@nclicensing.org](mailto:information@nclicensing.org)) or upon written request to the Board's office.

**Ammons, Timothy S.**  
**Ammons Superior Plumbing, Inc.**  
**Matthews, NC**

**License# 00007, P-I**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code, violated the minimum standard of the North Carolina State Fuel Gas Code, and caused damage to the building during the water heater replacement.

Resolution Conference held 10/13/2015.

The license of Timothy Ammons, number 00007 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the series of Special Plumbing Code and Design courses, and the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Armas, Alexander**  
**Carolina Clean Drains, LLC**  
**Mint Hill, NC**

**License# 32565, P-I**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, and failed to obtain final inspections.

Resolution Conference held 10/14/2015.

The license of Alexander Armas, number 32565 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Beachum, Benjamin**  
**Ayden, NC**

**License# 18827, H2&3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that while his license qualifications were listed in the name of Pitt Heating & Air, Inc., the installation of a condensing unit, which was contracted, performed without his knowledge, and installed around August of 2013, was improperly matched with the existing air handler, the existing air handler was an R22 system and the new condensing unit (installed in 2013) was a R410A system, licensee failed to provide adequate supervision for work performed under his license, failed to perform a load calculation, failed to obtain a permit prior to commencing work, and failed to obtain final inspections within ten (10) days of substantial completion of the work.

Benjamin Ray Beachum signed a Proposed Resolution Agreement 09/22/2015.

The license of Benjamin Beachum, number 18827, H2&3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. Among other things during the probation, licensee must enroll in, attend and complete the Special Laws & Rules course. Within thirty (30)

days of the approval of this order, the contractor shall return to the home located at 909 Ellery Drive and perform a thorough Manual J load calculation. If the load calculation reveals that the equipment is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the undersized or oversized equipment with properly matched & sized air handler(s) and condensing unit(s) and obtain a final inspection within forty-five (45) days of approval of this order. The contractor shall submit evidence to the board within ten (10) days of the final inspection that the project received a passing inspection and shall submit a copy of his load calculation. This work shall be performed at the expense of the contractor; and subcontract work that requires a license only to properly licensed subcontractors. After complaint to the Board, the job was inspected, received an approved final inspection, and a copy of the load calculation was submitted to the Board's office. If the licensee violates the conditions of probation, his license and the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

**Best, Carroll**  
**Weaverville, NC**

**License# 29094, H-3-II**

Allegations of misconduct in the business of heating contracting in that the licensee violated NCGS §87-23 for fraud or deceit in obtaining or renewing his license.

Carroll Best signed a Proposed Resolution Agreement 11/12/2015.

Review Committee recommended accepting the surrender of license, number 29094, H-3-II, and the license of the firm, Temp-Rite, LLC. The act of surrendering the license will be considered as a licensing revocation.

**Brewington, Charles**  
**Brewington Heating &**  
**Air Conditioning Incorporated**  
**Charlotte, NC**  
**License# 09801, H-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to provide adequate supervision, violated the minimum standard of the North Carolina State Mechanical Code, allowed the use of license by sub-contracting from an unlicensed general contractor, failed to carry out adequate ductwork installation, contracted and performed work outside current licensing qualifications, and failed to perform load calculation(s) for first floor of home.

Resolution Conference held 03/09/2015. Charles Linwood Brewington signed a Proposed Resolution Agreement 03/09/2015. The license of Charles Brewington, number 9801 H-3-I, and that of the firm is placed on supervised probation for a period of eighteen (18) months. Among other things during the probation, the licensee shall enroll in, attend and complete the following courses: 1) Special Board Laws and Rules course; and the 2) Special Mechanical Code Course. In the event of violation of any of the conditions of probation, the license of the individual and of the firm will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm agrees to comply with all of the probation provisions. Probation Resolution Agreement dated 03/09/2015; approved by Board on 04/24/2015.

Charles Linwood Brewington signed a Proposed Resolution Agreement 08/18/2015. The Review Committee recommended accepting the terms of the Proposed Resolution Agreement dated 08/18/2015. Licensee agreed that in lieu of the probation, and his inability to be able to complete the requirements of the probation, he agreed that his license, number 09801 H-3-I, and the license of the firm, Brewington Heating & Air Conditioning Incorporated be surrendered to the Board. The act of surrendering the license to the Board will be recorded as a licensing revocation.

**Carr, Nathaniel A.**  
**Carolina Air Specialists**  
**Castle Hayne, NC**  
**License# 30260, H-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that although the licensee properly sized and installed (2) 3 ton Trane geothermal heat pumps and pulled the required permit for the installation of the wells for the geothermal heat pumps, he failed to obtain the

required permit and inspection for the mechanical installation of the heat pumps and all associated duct work in violation of NCGS §87-21 and NCAC 50.0402.

Nathaniel Carr signed a Proposed Resolution Agreement 09/03/2015. The license of Nathaniel Carr, number 30260, H-3-I, and that of the firm shall be placed on an unsupervised probation for a period of twelve (12) months. Among other things during the probation, by 09/16/2015, licensee must ensure that his contact information is current with the board. Licensee failed to submit updated contact information by the deadline. Licensee must also enroll in, attend and complete the Special Laws & Rules course. If the licensee violates the conditions of probation, license of the individual and of his firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions listed above.

**Colvin, Shawn L.**  
**C.T.S. Residential, LLC**  
**Gastonia, NC**  
**License# 32310, H-3-I**

Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain the permit for the installation of (2) heat pumps prior to commencing work, and violated his probation approved by the Board on 08/12/2014.

Resolution Conference held 10/16/2015. Shawn Colvin failed to appear. Review Committee recommended that the license of Shaw Lynn Colvin and the license of the firm be suspended for a period of three (3) months. Upon successful completion of the suspension, his license, number 32310 H-3-I, and that of the firm shall be placed on supervised probation twelve (12) months. In the event of violation of any of the conditions of probation, license of the individual and of the firm will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm agrees to comply with all of the probation provisions. Results from the 10/16/2015 conference were hand delivered to Colvin by the Administrative Officer on 11/13/2015.

**Crawford, Ty T.**  
**Candler, NC**  
**License# 30029, P-I**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain

final inspections, and violated the minimum standard of the North Carolina State Plumbing Code.

Resolution Conference held 10/19/2015. The license of Ty Crawford, number 30029 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Dickman, Fred T.**  
**Sentry Heating & Cooling, LLC**  
**Fletcher, NC**  
**License# 31368, H-3-I**

Allegations of misconduct, incompetence, and selling license in the heating contracting in that the licensee obtained permits for the installation of (2) furnaces and air conditioning units and allowed his license number to appear on permits for jobs where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payments for the job and licensee was paid for the jobs by an unlicensed mechanical contractor in violation of NCGS §sections 50.0402, .0403, .0404, .0406 and .0512.

Fred Dickman signed a Proposed Resolution Agreement 09/16/2015. The license of Fred T. Dickman, number 31368 H-3-I, and that of the firm shall be placed on a unsupervised probation for a period of twelve (12) months. Among other things during the probation, licensee must enroll in, attend and complete the Special Laws & Rules course, and subcontract work that requires a license only to properly licensed subcontractors. If the licensee violates the conditions of probation, license of the individual and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions listed above.

**Engle, Timothy H.**  
**Caskey Heating and Cooling**  
**Belmont, NC**  
**License# 19107, H2&3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permits prior to commencing work, failed to respond to the customer in a timely manner, and failed to request final inspection within



10 days of substantial completion of the work.

Resolution Conference held 07/21/2015.

Timothy H. Engle failed to appear. Review Committee recommended that the license of Timothy Howard Engle, license number 19107 H2&3-I, be revoked. Although duly served with notice to attend the 07/21/2015 conference, Mr. Engle failed to appear and did not request a continuance of the matter.

Results from the 07/21/2015 hand delivered by Administrative Officer on 09/11/2015.

**Evans, Shamori D.  
Evans-Brown Contractors  
Greensboro, NC**

**License# 31640, H-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to perform load calculation(s), and violated the minimum standard of the North Carolina State Mechanical Code.

Resolution Conference held 10/13/2015. The license of Shamori Evans, number 31640 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Mechanical Code courses, and the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Griffin, John R.  
Foothills Heating and Air, Inc.  
Hickory, NC**

**License# 20784, H-2-3-I**

Allegations of misconduct in the business of heating contracting in that the licensee failed to properly permit the mechanical installation for a new 2 ½ ton American Standard 14 SEER condensing unit and coil in violation of NCGS §87-21 and NCAC 50.0402.

John Griffin signed a Proposed Resolution Agreement 09/28/2015.

The license of John R. Griffin, number 20784, H-2-3-I, and that of the firm shall be placed on a supervised probation for a period of twelve months. By 10/05/2015, the contractor shall obtain a permit from the local code enforcement official, return to 3406 Morgan Rd. and correct any and all code violations that still exist. The contractor shall contact the building owner in

advance, arrange a time to perform this work and shall receive a final inspection by 10/12/2015. The contractor shall submit evidence to the board within 30 days of the final inspection. This work shall be performed at the expense of the contractor. The licensee obtained and received an approved mechanical final inspection on 10/01/2015. Among other things during the probation, licensee must enroll in, attend and complete the Special Laws & Rules course. If the licensee violates the conditions of probation, license of the individual and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions listed above.

**Hudson, Keith M.  
Carolina Heating and  
Air Conditioning, Inc.  
Rock Hill, SC**

**License# 23763, H-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit (s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, violated the minimum standard of the North Carolina State Mechanical Code.

Resolution Conference held 10/14/2015.

The license of Keith Hudson, number 23763 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Mechanical Code courses, and the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Lockamy, Oliver  
Lockamy & Son Plumbing, Inc.  
Holly Ridge, NC**

**License# 10800, P-II**

Allegations of misconduct in the business of plumbing contracting in that the licensee allowed his license number to be used to obtain a bid for the installation of 65 reduce pressure zone backflow preventers.

Oliver Lockamy signed a Proposed Resolution Agreement 09/01/2015.

The Review Committee recommended to accept the voluntary surrender of the license of Oliver Lockamy, number 10800, P

-II. The license surrender is considered as a license revocation.

**McCarrerty, Matthew  
Lake Norman Plumber on Call LLC  
Sherrills Ford, NC  
License# 31754, PT**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee violated the State Plumbing Code by failing to properly install the T&P valve, valve was not properly strapped and terminated at 9" above floor – minimum allowable is 6", failed to properly strap water supply lines, failed to install a drain pan and drain line, failed to pull the required permit for the water heater installation in violation of GS 87-21 section .0402, and failed to meet the minimum plumbing code in violation of GS 87-21, section .0505.

Matthew McCarrerty signed a Proposed Resolution Agreement 08/26/2015.

The license of Matthew McCarrerty, number 31754, PT, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other things during the probation, by 09/09/2015, the contractor shall obtain a permit from the local code enforcement official, return to this structure and correct any and all code violations that still exist. The contractor shall contact the building owner in advance, arrange a time to perform this work and shall receive a final inspection by 09/16/2015. This work shall be performed at the expense of the contractor. After complaint to the board, this job received an approved final inspection 09/15/2015. In addition, licensee shall enroll in, attend and complete the series of Special Plumbing Code and Design courses, and the Special Board Laws & Rules course. If the licensee violates the conditions of probation, his license and the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions listed above.

**Moore, Lenoid  
Carolina Rooter of NC, Inc.  
d/b/a Roto-Rooter Plumbers  
Greenville, NC  
License# 12898, P-I**

Allegations of misconduct in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, and engaged in business under a different name or style of business than appears on his/her license.

Resolution Conference held 10/19/2015. The license of Lenoid Moore, number 12898 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Nesbitt, Nathan  
Nesbitt's Plumbing  
Arden, NC**

**License# 24460, P-I & RFSC**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, violated the minimum standard of the North Carolina State Plumbing Code, installation performed in a poor workman like manner / poor workmanship, and exposed occupant of home to potential for cross contamination in that the contractor failed to reinstall the home check valve on a community water system. Resolution Conference held 10/20/2015. The license of Nathan Nesbitt, number 24460 P-I & RFSC, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the series of Special Plumbing Code and Design courses and the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Pitt Heating & Air, Inc.  
Greenville, NC**

Allegations of misconduct and incompetence in the business of heating contracting in that the installation performed by the firm around August of 2013, was improperly matched with the existing air handler, the existing air handler was an R22 system and the new condensing unit (installed in 2013) was a R410A system, the licensee responsible for the installation, Benjamin Beachum, number 18827, failed to provide

adequate supervision for work performed under his license, failed to perform a load calculation, failed to obtain a permit prior to commencing work, and failed to obtain final inspections within ten (10) days of substantial completion of the work. Luis Ochoa, license number 15379, signed a Proposed Resolution Agreement 09/22/2015.

The licensed firm Pitt Heating & Air, Inc. shall be placed on unsupervised probation for a period of twelve (12) months. Within thirty (30) days of the approval of this order the firm shall return to the home located at 909 Ellery Dr. and perform a thorough Manual J load calculation. If the load calculation reveals that the equipment is improperly sized, then the firm shall obtain a permit from the local inspection department, replace the undersized or oversized equipment with properly matched & sized air handler(s) and condensing unit(s) and obtain a final inspection within forty-five (45) days of approval of this order. The firm shall submit evidence to the board within ten (10) days of the final inspection that the project received a passing inspection and shall submit a copy of their load calculation. This work shall be performed at the expense of the firm. Among other things during the probation, the owner of the firm, Syed Mustafa, shall enroll in, attend and complete the Special Board Laws & Rules course. In the event of violation of any conditions of probation, license of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions listed above. After complaint to the Board, the job was inspected, received an approved final inspection, and a copy of the load calculation was submitted to the Board's office.

**Plemmons, Timothy E.  
Marion, NC**

**License# 21025, FP**

Allegations of misconduct in the business of fuel piping in that the licensee failed to obtain a permit prior to commencing work and failed to provide adequate supervision for work performed under his license. Resolution Conference held 10/19/2015. Timothy Plemmons failed to appear. Review Committee recommended that the license of Timothy Eugene Plemmons, license number 21025 FP, be suspended until such time that he personally appears before the RRC to answer to the allegations contained in complaint file number 2015-246. Results of conference delivered by certified mail to licensee on 11/14/2015.

**Roundtree, Marvin  
Marvin's Maintenance  
Rocky Mount, NC  
License# 20395, H-3-I**

Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain a permit prior to commencing work.

Marvin Roundtree signed a Proposed Resolution Agreement 09/16/2015.

The license of Marvin Roundtree, number 20395 H-3-I, and that of the firm shall be placed on a supervised probation for a period of twelve (12) months. Among other things during the probation, licensee must enroll in, attend and complete the Special Laws & Rules course. If the licensee violates the conditions of probation, license of the individual and of the firm will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

**Shockley, Bruce W.  
Entrust Services, LLC  
d/b/a Roto-Rooter  
Greensboro, NC**

**License# 08641, P-I**

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee at several job locations for the installation of water heaters violated the State Plumbing Code, and failed to obtain permits prior to commencing work.

Bruce Shockley signed a Proposed Resolution Agreement 10/27/2015.

The license of Bruce Shockley, number 08641 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve months (12 months). Among other requirements during the probation, the licensee shall enroll in, attend and complete the series of Special Plumbing Code and Design courses, the Special Board Laws & Rules course, and assure that all installations that have been performed under his license, that have not been permitted or inspected, shall receive an approved final inspections within sixty days (60 days). If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Stewart, Leroy  
TLC Heat & Air, Inc.  
East Bend, NC  
License# 24416, H-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to complete a load calculation as required in NCGS §87-21, section NCAC 50.0505, failed to obtain a permit prior to commencing work for the replacement of a gas furnace in violation of NCGS §87-21, section NCAC 50.0402, and violated the State Mechanical Code. Leroy Stewart signed a Proposed Resolution Agreement 11/05/2015. The license of Leroy Stewart, number 24416 H-3-I, and that of the firm shall be placed on supervised probation for a period of eighteen months (18 months). Among other requirements during the probation, the licensee shall enroll in, attend and complete the series of Special Mechanical Code courses. In addition, by 11/16/2015, the contractor shall return to the home located at 4528 9th Street NE, perform a thorough Manual J load calculation, and address the code violations and issues noted above. If the load calculation reveals that the equipment is improperly sized, then the contractor shall obtain a permit from the local inspection department, replace the undersized or oversized equipment with properly sized gas furnace and obtain a final inspection by 11/23/2015. The contractor shall submit evidence to the board by 12/01/2015 of the final inspection and shall submit a copy of his load calculation.

If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Taylor, Richard G.  
Joanna, SC**

**License# 20565, H-2&3-I**

Allegations of misconduct in the business of heating contracting in that the licensee failed to notify the Board of a change in address within 30 days as required by rule, obtained \$1,000.00 for an installation at 314 Elm St., Belmont, NC and failed to complete installation (job abandonment), and contracted work while his license was expired.

Resolution Conference held 10/16/2015.

The license of Richard Taylor, number 20565 H-2&3-I, and that of the firm shall be placed on unsupervised probation for a period of eighteen (18) months. Among other requirements during the probation, the licensee shall, within thirty (30) days of approval of this agreement, renew his license to the active status, including payment of all past and current licensing renewal fees. After complaint to the Board, the license was renewed on 11/16/2015.

Licensee agreed to enroll in, attend and complete the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

**Willis, Walston D.  
Willis and Willis Heating, Cooling & Plumbing, LLC  
Reidsville, NC**

**License# 22795, PH-3-I**

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit (s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, and failed to perform load calculation(s).

Resolution Conference held 10/16/2015.

The license of Walston Willis, number 22795 PH-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. Among other requirements during the probation, the licensee shall enroll in, attend and complete the Special Board Laws & Rules course. If the licensee violates any conditions of the probation, his individual license and of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated the licensee and the firm must comply with all of the probation provisions.

## Attorney's Report

The Attorney's Report contains information pertaining to cases that have been adjudicated in a formal proceeding of the Board or in the courts of North Carolina. Disciplinary actions against licensed contractors are typically imposed during a Formal Hearing of the Board. Court action is necessary when unlicensed individuals are in violation of the Board's Laws and Rules or in circumstances where licensees are found to be in violation of any order(s) issued by the Board.

**Hartnett, William M.**  
**d/b/a HKS Remodeling and**  
**Construction, LLC**  
**Wilmington, NC**

Allegations of heating contracting without a valid license in violation of permanent injunction. Order of civil contempt issued 10/12/15 finding Defendant in contempt and ordering defendant to pay \$2,500 in restitution as a condition of the suspension of a 30 day jail sentence.

**McCraw, Michael "Clay"**  
**Lake Lure Heating & A/C**  
**Rutherfordton, NC**

Allegations of heating contracting without a valid license. Judgment of Permanent Injunction filed 10/9/15 ordering Defendant to refrain from engaging in business as a heating contractor at all times not properly licensed to do so.

**Paniagua, Jose Antonio**  
**Fayetteville, NC**

Allegations of plumbing contracting without a license. Judgment of permanent injunction filed 10/2/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contractor unless licensed to do so.

**Phipps, Anthony Edward**  
**Phipps Construction, LLC**  
**Fayetteville, NC**

Allegations of plumbing contracting without a license. Judgment of permanent injunction filed 11/10/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contractor unless licensed to do so.

**Santiago, Angel Arlette**  
**Hampstead, NC**

Allegations of heating contracting without a license in violation of judgment of permanent injunction. Order of contempt issued 11/20/15 finding Defendant in contempt and sentencing the Defendant to 30 day suspended sentence, \$360 in restitution and \$500 fine.

**Stephens, Ryan M.**  
**Bryant Air, LLC**

Allegations of heating contracting without a license. Judgment of Permanent Injunction filed 10/2/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contractor without a license to do so.

**Taylor, David K.**  
**Pinebluff, NC**

Allegations of plumbing contracting without a valid license. Judgment of permanent injunction filed 10/30/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contractor unless licensed to do so.

**Thomas, Leonard A.**  
**d/b/a LA Thomas Construction**  
**High Point, NC**

Allegations of plumbing contracting without a license. Complaint for injunctive relief filed 11/2/15. Defendant served 11/16/15.

**Wheeler, Sean**  
**S & W Preventative Maintenance**  
**Raleigh, NC**

Allegations of engaging in or offering to engage in heating contracting without a valid license. Judgment of permanent injunction filed 9/4/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contracting unless licensed to do so.

**Whisnant, Brandon**  
**Whisnant HVAC**  
**Gastonia, NC**

Allegations of engaging in or offering to engage in heating contracting without a license. Judgment of permanent injunction filed 10/16/15 ordering Defendant to refrain from engaging in business as a plumbing or heating contractor unless licensed to do so.

**Williams, Marcus**  
**B & W Refrigeration**  
**Wilson, NC**

Allegations of Heating contracting without a license. Judgment of permanent injunction filed 9/28/15 ordering Defendant to refrain from engaging in business as plumbing or heating contractor unless licensed to do so.

**Willis, Jeremy**  
**Lawndale, NC**

Allegations of heating contracting without a valid license in violation of 9/12/12 injunctive judgment. Order of contempt issued 5/21/15 finding the Defendant in contempt and ordering the Defendant to pay restitution of \$1,758 and a \$500 fine as a condition of a 30 day suspended jail sentence.

